

ON THE REALIZATION THEORY OF POLYNOMIAL MATRICES AND THE ALGEBRAIC STRUCTURE OF PURE GENERALIZED STATE SPACE SYSTEMS

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We review the realization theory of polynomial (transfer function) matrices via "pure" generalized state space system models. The concept of an *irreducible-at-infinity* generalized state space realization of a polynomial matrix is defined and the mechanism of the "cancellations" of "decoupling zeros at infinity" is closely examined. The difference between the concepts of *irreducibility* and *minimality* of generalized state space realizations of polynomial (transfer function) matrices is pointed out and the associated concepts of *dynamic* and *non-dynamic* variables appearing in generalized state space realizations are also examined.

Keywords: polynomial matrices, realization theory, minimality, irreducibility, generalized state space, infinite decoupling zeros.

1. Introduction

Starting with Rosenbrock's seminal paper (Rosenbrock, 1974), the algebraic structure of generalized state space (GSS) or singular systems and the associated problems dealing with the realization theory of non-proper real rational matrices has been the subject of numerous and important investigations during the past 35 years (Bosgra and Van Der Weiden, 1981; Verghese, 1978; Verghese et al., 1981; Cobb, 1984; Lewis, 1986; Lewis et al., 1989; Conte and Perdon, 1982; Misra and Patel, 1989; Christodoulou and Mertzios, 1986; Vafiadis and Karcanias, 1995). In this paper, motivated by the classical realization theory of proper rational transfer function matrices of linear time invariant multivariable systems by ordinary state space system models, we examine some ideas related to the realization theory of *polynomial* transfer function matrices that correspond to linear, time invariant, "pure" generalized state space systems along with the associated concepts of "*decoupling zeros at infinity*" and *minimality and irreducibility* of such systems.

Although the concepts of *reducibility* or *irreducibility* "at infinity" and "decoupling zeros at infinity" of generalized state space realizations of polynomial matrices are implicit in many papers, they have not been clearly defined. In most cases (see, e.g., (Bosgra and Van Der Weiden, 1981; Varga, 1989; Misra and Patel, 1989; Vafiadis and Karcanias, 1995)), the authors focus on the computational aspects of the reduction techniques introduced, without going into details regarding the action of these methods on the underlying algebraic structure of the matrices involved. Similarly, the mechanism of the "cancellations" of "decoupling zeros at infinity" during the formation of the polynomial transfer function matrix from a reducible-at-infinity generalized state space realization of such a polynomial matrix has not been clearly explained

in all of the above studies and is closely examined, we believe for the first time, here.

Our approach focuses on the investigation of the algebraic structure of the matrices describing "pure" generalized state space systems. The difference between the concepts of *irreducibility at infinity* and *minimality* of "pure" generalized state space realizations of polynomial (transfer function) matrices is pointed out and the relations of these concepts with the associated concepts of *dynamic* and *non-dynamic* variables appearing in such generalized state space realizations are also reviewed.

The paper is organized as follows: In Section 2, we give a brief review of known results from the theory of polynomial matrices which will be useful in the sequel. In Section 3, we investigate the concept of irreducibility at infinity, while in Section 4, we present the difference between the concepts of irreducibility "at infinity" and minimality which depends on the presence of non-dynamic variables. Finally, in Section 5, we summarize our results and give some conclusions.

2. Mathematical background

In what follows, the time variable t is considered to be continuous, i.e., taking values in \mathbb{R} . Correspondingly, the variable s can be considered as denoting the Laplace variable in the Laplace transform $\mathcal{L} \{x(t)\} = X(s) :=$ $\int_{0^{-}}^{\infty} x(t) e^{-st} dt$ of a continuous time function $x(t) : \mathbb{R} \to$ \mathbb{R} . By $\mathbb{R}(s)^{p \times m}$, $\mathbb{R}_{pr}(s)^{p \times m}$ and $\mathbb{R}[s]^{p \times m}$ we denote respectively the sets of $p \times m$ rational, proper rational and polynomial matrices with real coefficients and indeterminate $s \in \mathbb{C}$. A polynomial matrix

$$A(s) = A_q s^q + A_{q-1} s^{q-1} + \dots + A_0, \qquad (1)$$

where $A_k \in \mathbb{R}^{p \times m}, k = 0, 1, \dots, q \ge 1, A_q \ne 0$, is called *regular* iff p = m and det $A(s) \ne 0$ for almost every $s \in \mathbb{C}$. In any other case, i.e., if $p \ne m$ or p = mand det A(s) = 0, it is called *singular*. If q = 1, then $A(s) = A_1 s + A_0 \in \mathbb{R}[s]^{p \times m}$ is called a *matrix pencil* (Gantmacher, 1959). The (finite) zeros of A(s) are defined as the roots of the equation det A(s) = 0. Equivalently, $\lambda_i \in \mathbb{C}$ is a (finite) zero of A(s) iff $\operatorname{rank}_{\mathbb{C}} A(\lambda_i) < r$. $\delta_M [\cdot]$ denotes the McMillan degree of a rational matrix, i.e., the total number of its poles in $\mathbb{C} \cup \{\infty\}$. Every rational matrix $A(s) \in \mathbb{R}(s)^{p \times m}$ with $\operatorname{rank}_{\mathbb{R}(s)} A(s) = r \le$ $\min(p, m)$ is *biproperly equivalent* (Vardulakis, 1991) to its Smith-McMillan form at $s = \infty$,

$$S_{A(s)}^{\infty} = \operatorname{diag}\left(\underbrace{\underbrace{s^{q_1}, \dots, s^{q_k}}_{k}, I_{v-k}}^{v}, \underbrace{I_{v-k}}_{k}, \underbrace{\frac{r-v}{1}}_{\overline{s^{\widehat{q}_{v+1}}}, \dots, \frac{1}{s^{\widehat{q}_r}}, 0_{p-r,m-r}}\right),$$
(2)

where $r \ge v \ge k \ge 0$ and $q_1 \ge q_2 \ge \cdots \ge q_k > 0 = q_{k+1} = \cdots = q_v$, $\hat{q}_r \ge \hat{q}_{r-1} \ge \cdots \ge \hat{q}_{v+1} > 0$ are respectively the orders of the *poles* and the *zeros* of A(s) at $s = \infty$. Finally, if A(s) is as in (1) with the Smith-McMillan form at $s = \infty$ as in (2), then it turns out (Vardulakis *et al.*, 1982) that

$$q = q_1. \tag{3}$$

We also give a review of some known facts and basic results regarding the "realization theory" of *polynomial matrices*. What follows can be seen as an extension of the results regarding the realization theory of *proper* rational matrices to the case of *polynomial matrices*.

Definition 1. Let $A(s) \in \mathbb{R}[s]^{p \times m}$, $\operatorname{rank}_{\mathbb{R}(s)} A(s) = r \leq \min(p,m)$. A quadruple of matrices $C_{\infty} \in \mathbb{R}^{p \times \mu}$, $A_{\infty} \in \mathbb{R}^{\mu \times \mu}$, $B_{\infty} \in \mathbb{R}^{\mu \times m}$, $D_{\infty} \in \mathbb{R}^{p \times m}$, $\mu \in \mathbb{Z}^+$ is called a *generalized state space* (GSS) *realization* of A(s) iff the GSS system, denoted by Σ_g , is defined by

$$A_{\infty}\dot{x}_{\infty}(t) = x_{\infty}(t) - B_{\infty}u(t), \qquad (4)$$

$$y(t) = C_{\infty} x_{\infty}(t) + D_{\infty} u(t), \qquad (5)$$

and the transfer function matrix between $Y(s) = \mathcal{L} \{y(t)\}$ and $U(s) = \mathcal{L} \{u(t)\}$ is the *polynomial* matrix A(s), i.e., if

$$A(s) = C_{\infty} \left(I_{\mu} - sA_{\infty} \right)^{-1} B_{\infty} + D_{\infty}.$$
 (6)

The vector $x_{\infty}(t) : \mathbb{R} \to \mathbb{R}^{\mu}$ in (4) is called the (fast) generalized state vector of Σ_g and the positive integer μ is called the *dimension* of Σ_g .

Remark 1. A GSS realization of $A(s) \in \mathbb{R}[s]^{p \times m}$ can always be obtained from a state space realization of the *strictly proper* rational matrix (Verghese, 1978),

$$\overline{A}(s) := \left(\frac{1}{s}\right) A\left(\frac{1}{s}\right) \in \mathbb{R}_{pr}^{p \times m}\left(s\right)$$

because if $C_{\infty} \in \mathbb{R}^{p \times \mu}$, $A_{\infty} \in \mathbb{R}^{\mu \times \mu}$, $B_{\infty} \in \mathbb{R}^{\mu \times m}$ is a *state space* realization of $\overline{A}(s)$, i.e., if

$$\left(\frac{1}{s}\right)A\left(\frac{1}{s}\right) = C_{\infty}\left(sI_{\mu} - A_{\infty}\right)^{-1}B_{\infty},\qquad(7)$$

then (7), by the substitution $1/s \mapsto s$, gives (6) with $D_{\infty} = 0_{p,m}$.

Let $A(s) = A_0 + A_1 s + \dots + A_{q_1} s^{q_1} \in \mathbb{R}[s]^{p \times m}$, $A_i \in \mathbb{R}^{p \times m}$, $i = 0, 1, 2, \dots, q_1 \ge 1$, $A_{q_1} \ne 0$ and let $C_{\infty} \in \mathbb{R}^{p \times \mu}$, $A_{\infty} \in \mathbb{R}^{\mu \times \mu}$, $B_{\infty} \in \mathbb{R}^{\mu \times m}$, $D_{\infty} \in \mathbb{R}^{p \times m}$, $\mu \in \mathbb{Z}^+$ be a GSS realization of A(s). Let also $J_{\infty} = QA_{\infty}Q^{-1}$, $Q \in \mathbb{R}^{\mu \times \mu}$, $|Q| \ne 0$, be the Jordan normal form of A_{∞} , and $\overline{C}_{\infty} := C_{\infty}Q^{-1}$, $\overline{B}_{\infty} := QB_{\infty}$. From (6) it follows that $(I_{\mu} - sA_{\infty})^{-1} \in \mathbb{R}[s]^{\mu \times \mu}$, so that $I_{\mu} - sA_{\infty}$ or, equivalently, $I_{\mu} - sJ_{\infty}$ are $\mathbb{R}[s]$ -unimodular matrices and J_{∞} has, in general, the form

$$J_{\infty} = \text{block diag}\left[J_{\infty 1}, J_{\infty 2}, \dots, J_{\infty \eta}, 0_{\tau, \tau}\right] \in \mathbb{R}^{\mu \times \mu},$$
(8)

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where

$$J_{\infty i} = \begin{bmatrix} 0 & 1 & \dots & 0 \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & 1 \\ 0 & \dots & 0 & 0 \end{bmatrix} \in \mathbb{R}^{(\kappa_i + 1) \times (\kappa_i + 1)}$$
(9)

and $\kappa_1 \geq \kappa_2 \geq \cdots \geq \kappa_{\eta}$, $\kappa_i \in \mathbb{Z}^+$, $i = 1, 2, \dots, \eta$. From (8) and (9) it follows that J_{∞} (equivalently A_{∞}) is a nilpotent matrix with the index of nilpotency equal to the size $\kappa_1 + 1$ of its largest Jordan block $J_{\infty 1}$, i.e., $J_{\infty}^{\kappa_1} \neq 0_{\mu,\mu}, J_{\infty}^{\kappa_1+i} = 0_{\mu,\mu}, i = 1, 2, \dots$, and it can be easily verified that

$$(I_{\mu} - sJ_{\infty})^{-1} = I_{\mu} + sJ_{\infty} + \dots + s^{\kappa_1}J_{\infty}^{\kappa_1} \in \mathbb{R}\left[s\right]^{\mu \times \mu}.$$
(10)

From the fact that

$$A(s) = \overline{C}_{\infty} \left(I_{\mu} - s J_{\infty} \right)^{-1} \overline{B}_{\infty} + D_{\infty}$$
(11)

and (10) it follows that $\kappa_1 \ge q_1$ and

$$\overline{C}_{\infty} J^{i}_{\infty} \overline{B}_{\infty} = A_{i}, \qquad i = 0, 1, 2, \dots, q_{1}, \qquad (12)$$
$$\overline{C}_{\infty} J^{i}_{\infty} \overline{B}_{\infty} = 0, \qquad i = q_{1} + 1, q_{1} + 2, \dots$$

We give now a number of definitions and results regarding the structure of the GSS realization of a polynomial matrix A(s).

Definition 2. The GSS system poles at $s = \infty$ of the GSS realization $\Sigma_g = [C_{\infty}, A_{\infty}, B_{\infty}, D_{\infty}]$ of A(s) are the zeros at $s = \infty$ of $I_{\mu} - sA_{\infty}$. The generalized order f_g of Σ_g is the total number of the system poles at $s = \infty$ of Σ_g or, equivalently, the total number of zeros at $s = \infty$ of $I_{\mu} - sA_{\infty}$. (The multiplicities and orders of the zeros at $s = \infty$ of $I_{\mu} - sA_{\infty}$. (The multiplicities and orders of the zeros at $s = \infty$ of $I_{\mu} - sA_{\infty}$ are accounted for.)

From (8) and (9) it can be easily seen that the orders of the zeros at $s = \infty$ of $I_{\mu} - sJ_{\infty}$ are the integers $\kappa_i \ge 1, i = 1, 2, \ldots, \eta$, i.e., that the Smith-McMillan form $S_{I_{\mu}-sJ_{\infty}}^{\infty}$ at $s = \infty$ of the matrix pencil $I_{\mu} - sA_{\infty}$ (Vardulakis and Karcanias, 1983; Vardulakis, 1991) is given by

$$S_{I_{\mu}-sJ_{\infty}}^{\infty} = \text{block diag} \left[sI_{f_g}, I_{\tau}, \frac{1}{s^{\kappa_{\eta}}}, \dots, \frac{1}{s^{\kappa_{1}}} \right].$$
(13)

Now, since $I_{\mu} - sA_{\infty}$ is a unimodular polynomial matrix (in fact, a regular matrix pencil), it has no finite zeros, i.e., the number of the finite zeros of $I_{\mu} - sA_{\infty}$ is $n := \deg |I_{\mu} - sA_{\infty}| = 0$ and has no finite poles. Now, from the known fact that in every square and nonsingular polynomial matrix A(s) the total number of zeros of A(s) in $\mathbb{C} \cup \{\infty\}$ is equal to the total number of poles of A(s) in $\mathbb{C} \cup \{\infty\}$ (Vardulakis, 1991), it simply follows that the *generalized order* f_g of Σ_g is given also by the total number of poles at $s = \infty$ of $I_{\mu} - sA_{\infty}$ which, by definition, is its McMillan degree $\delta_M [I_\mu - sA_\infty]$. Summarizing, we have that

$$f_g := \text{total number of zeros at } s = \infty \text{ of } [I_{\mu} - sA_{\infty}]$$

$$\stackrel{(13)}{=} \sum_{i=1}^{\eta} \kappa_i = \text{rank}_{\mathbb{R}} J_{\infty} = \text{rank}_{\mathbb{R}} A_{\infty}$$

$$= \text{total } \# \text{ of poles at } s = \infty \text{ of } [I_{\mu} - sA_{\infty}]$$

$$=: \delta_M [I_{\mu} - sA_{\infty}], \qquad (14)$$

where the symbol '#' means the "total number" with multiplicities accounted for.

3. Irreducibility at infinity

We examine now the concept of *irreducibility at* $s = \infty$ of a GSS realization of a polynomial matrix. This concept is analogous to that of *irreducibility in* \mathbb{C} of a *state space* realization of a *proper* rational matrix. To this end, we introduce a number of auxiliary results.

Let $A(s) \in \mathbb{R}[s]^{p \times m}$, and let $\overline{C}_{\infty} \in \mathbb{R}^{p \times \mu}, J_{\infty} \in \mathbb{R}^{\mu \times \mu}, \overline{B}_{\infty} \in \mathbb{R}^{\mu \times m}, D_{\infty} \in \mathbb{R}^{p \times m}, \mu \in \mathbb{Z}^+$ be a GSS realization of A(s) with J_{∞} in Jordan normal form as in (8) and (9), so that $\mu := \sum_{i=1}^{\eta} (\kappa_i + 1) + \tau$. Let

$$\overline{C}_{\infty} = [C_{\infty 1} \ C_{\infty 2} \ \dots \ C_{\infty \eta} \ C_{\infty \eta+1}] \in \mathbb{R}^{p \times \mu}, \quad (15)$$

$$C_{\infty i} = [c_{i1} \ c_{i2} \ \dots \ c_{i\kappa_i} \ c_{i\kappa_i+1}] \in \mathbb{R}^{p \times (\kappa_i+1)}, \quad (16)$$

where $c_{ij} \in \mathbb{R}^{p \times 1}, i = 1, 2, ..., \eta, j = 1, 2, ..., \kappa_i + 1$. Let also

$$\overline{B}_{\infty} = \begin{bmatrix} B_{\infty 1} \\ \vdots \\ B_{\infty \eta} \\ B_{\infty \eta+1} \end{bmatrix} \in \mathbb{R}^{\mu \times m}, \qquad (17)$$
$$B_{\infty i} = \begin{bmatrix} b_{i1}^{\top} \\ \vdots \\ b_{i\kappa_{i}}^{\top} \\ b_{i\kappa_{i}+1}^{\top} \end{bmatrix} \in \mathbb{R}^{(\kappa_{i}+1) \times m}, \qquad (18)$$

with $b_{ij}^{\top} \in \mathbb{R}^{1 \times m}$, $i = 1, 2, ..., \eta$ and $j = 1, 2, ..., \kappa_i + 1$. Consider the singular matrix pencils

$$\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} \\ C_{\infty i} \end{bmatrix} = \begin{bmatrix} I_{\kappa_i+1} \\ C_{\infty i} \end{bmatrix} - s\begin{bmatrix} J_{\infty i} \\ 0 \end{bmatrix},$$
$$\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} & B_{\infty i} \end{bmatrix}$$

$$= \begin{bmatrix} I_{\kappa_i+1} & B_{\infty i} \end{bmatrix} - s \begin{bmatrix} J_{\infty i} & 0 \end{bmatrix},$$

where $i = 1, 2, ..., \eta$.

Proposition 1.

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(i) The singular matrix pencil

$$\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} \\ C_{\infty i} \end{bmatrix}$$
(19)

has no zeros at $s = \infty$ iff $c_{i1} \neq 0$ or, equivalently,

$$\operatorname{rank}_{\mathbb{R}} \left[\begin{array}{c} J_{\infty i} \\ C_{\infty i} \end{array} \right] = \kappa_i + 1.$$
 (20)

(ii) The singular matrix pencil

$$\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} & B_{\infty i} \end{bmatrix}$$
(21)

has no zeros at $s = \infty$ iff $b_{i\kappa_i+1}^{\top} \neq 0$ or, equivalently,

$$\operatorname{rank}_{\mathbb{R}}\left[J_{\infty i}, B_{\infty i}\right] = \kappa_i + 1.$$
(22)

Proof. We prove the first assertion. The second assertion can be proved in a similar way. The singular matrix pencil in (19) can be written as

$$\begin{bmatrix} I_{\kappa_{i}+1} - sJ_{\infty i} \\ C_{\infty i} \end{bmatrix} = \begin{bmatrix} 1 & -1 & 0 & \dots & 0 \\ 0 & \frac{1}{s} & -1 & \dots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & 0 & \ddots & \ddots & -1 \\ 0 & 0 & 0 & \dots & \frac{1}{s} \\ \hline c_{i1} & \frac{c_{i2}}{s} & \frac{c_{i3}}{s} & \dots & \frac{c_{i\kappa_{i}+1}}{s} \end{bmatrix}$$
(23)
$$\times \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & \frac{1}{s} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \frac{1}{s} \end{bmatrix}^{-1}$$
$$=: N_{i} (s) D_{i} (s)^{-1}$$

and, since

$$\operatorname{rank}_{\mathbb{R}} \begin{bmatrix} N_i(\infty) \\ D_i(\infty) \end{bmatrix} = \kappa_i + 1,$$

(23) is a coprime-at- $s = \infty$ proper rational matrix fractional representation of (19), see (Vardulakis, 1991). In (Vardulakis, 1991) it is proved that the zeros at $s = \infty$ of (19) considered as an element of $\mathbb{R}(s)^{(\kappa_i+1+p)\times(\kappa_i+1)}$ are given by the zeros at $s = \infty$ of the numerator $N_i(s) \in \mathbb{R}_{pr}(s)^{(\kappa_i+1+p)\times(\kappa_i+1)}$ in (23) which has no zeros at $s = \infty$ iff

$$\operatorname{rank}_{\mathbb{R}} N_i(\infty) = \kappa_i + 1,$$

which is clearly equivalent to (20).

Using arguments similar to those in the proof of Proposition 1, we can easily show the following result.

Corollary 1. Let

$$C_{\infty i} = [c_{i1}, \dots, c_{i\kappa_i}, c_{i\kappa_i+1}] \in \mathbb{R}^{p \times (\kappa_i+1)}$$

such that

$$c_{i1} = \dots = c_{i\sigma_i - 1} = 0, \quad c_{i\sigma_i} \neq 0, \quad 1 \le \sigma_i \le \kappa_i + 1.$$
(24)

Then

$$\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} \\ C_{\infty i} \end{bmatrix} \in \mathbb{R}^{(\kappa_i+1+p)\times(\kappa_i+1)}$$

has one zero at $s = \infty$ of order $\sigma_i - 1$. By analogy, a similar result holds for the pencil $\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} & B_{\infty i} \end{bmatrix}$.

Due to the Jordan block structure of $J_{\infty} \in \mathbb{R}^{\mu \times \mu}$ as in (8) and the block structures of \overline{C}_{∞} and \overline{B}_{∞} as in (15)– (17), the above corollary implies also the following result.

Corollary 2. Let $A(s) \in \mathbb{R}[s]^{p \times m}$ and $\overline{C}_{\infty} \in \mathbb{R}^{p \times \mu}, J_{\infty} \in \mathbb{R}^{\mu \times \mu}, \overline{B}_{\infty} \in \mathbb{R}^{\mu \times m}, D_{\infty} \in \mathbb{R}^{p \times m}, \mu \in Z^+$ be a GSS realization of A(s) with J_{∞} in the Jordan normal form as in (8) and (9). Then

(i) The matrix

$$\begin{bmatrix} I_{\mu} - sJ_{\infty i} \\ \overline{C}_{\infty} \end{bmatrix}$$

has no zeros at $s = \infty$ iff

$$\operatorname{rank}_{\mathbb{R}}\left[\begin{array}{c}J_{\infty}\\\overline{C}_{\infty}\end{array}\right]=\mu.$$

(ii) $\begin{bmatrix} I_{\mu} - sJ_{\infty i} & \overline{B}_{\infty} \end{bmatrix}$ has no zeros at $s = \infty$ iff $\operatorname{rank}_{\mathbb{R}} \begin{bmatrix} J_{\infty} & \overline{B}_{\infty} \end{bmatrix} = \mu$.

A direct consequence of the above results is also the following result.

Corollary 3. $C_{\infty i}J_{\infty i} = 0 \Leftrightarrow c_{i1} = c_{i2} = \cdots = c_{i\kappa_i} = 0 \stackrel{Cor. I}{\Rightarrow}$ the matrix

$$\left[\begin{array}{c}I_{\kappa_i+1}-sJ_{\infty i}\\C_{\infty i}\end{array}\right]$$

has one zero at $s = \infty$ of order κ_i .

In order now to proceed with the concept of irreducibility at infinity of a GSS realization of a polynomial matrix, consider the following motivating example.

Example 1. Let $\overline{C}_{\infty} \in \mathbb{R}^{p \times \mu}, J_{\infty} \in \mathbb{R}^{\mu \times \mu}, \overline{B}_{\infty} \in \mathbb{R}^{\mu \times m}, D_{\infty} \in \mathbb{R}^{p \times m}$ be a GSS realization of a polynomial matrix $A(s) \in \mathbb{R}[s]^{p \times m}$. For simplicity of notation, set $\eta = 3$,

$$\overline{C}_{\infty} = \begin{bmatrix} C_{\infty 1} & C_{\infty 2} & C_{\infty 3} & C_{\infty 4} \end{bmatrix},$$

where $C_{\infty i} \in \mathbb{R}^{p \times (\kappa_i + 1)}, i = 1, 2, 3, C_{\infty 4} \in \mathbb{R}^{p \times \tau}$,

$$J_{\infty} = \text{block diag} \left[J_{\infty 1}, J_{\infty 2}, J_{\infty 3}, 0_{\tau, \tau} \right] \in \mathbb{R}^{\mu \times \mu},$$

$$\overline{B}_{\infty} = \begin{bmatrix} B_{\infty 1} \\ B_{\infty 2} \\ B_{\infty 3} \\ B_{\infty 4} \end{bmatrix},$$

 $B_{\infty i} \in \mathbb{R}^{(\kappa_i+1)\times m}, i = 1, 2, 3, B_{\infty 4} \in \mathbb{R}^{\tau \times m}$ so that the generalized order of $\Sigma_g = [\overline{C}_{\infty}, J_{\infty}, \overline{B}_{\infty}, D_{\infty}]$ is $f_g = \operatorname{rank}_{\mathbb{R}} J_{\infty} = \sum_{i=1}^{3} \kappa_i$. Notice that A(s) can be written as

$$A(s) = \overline{C}_{\infty} (I_{\mu} - sJ_{\infty})^{-1} \overline{B}_{\infty} + D_{\infty}$$

= $C_{\infty 1} [I_{\kappa_{1}+1} - sJ_{\infty 1}]^{-1} B_{\infty 1}$
+ $C_{\infty 2} [I_{\kappa_{2}+1} - sJ_{\infty 2}]^{-1} B_{\infty 2}$
+ $C_{\infty 3} [I_{\kappa_{3}+1} - sJ_{\infty 3}]^{-1} B_{\infty 3}$
+ $C_{\infty 4} B_{\infty 4} + D_{\infty}.$

Assume now for notational simplicity that for some $i \in \{1,2,3\}$ we have that

$$C_{\infty i} = C_{\infty i} \left[I_{\kappa_i + 1} - s J_{\infty i} \right]. \tag{25}$$

Then by Corollary 3 this assumption implies that the polynomial matrix

$$\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} \\ C_{\infty i} \end{bmatrix}$$

has a zero of order κ_i at $s = \infty$ which is the zero at $s = \infty$ of $I_{\kappa_i+1} - sJ_{\infty i}$. For example, assume that (25) holds true for i = 2. Then we will have

$$\begin{bmatrix} I_{\kappa_2+1} - sJ_{\infty 2} \\ C_{\infty 2} \end{bmatrix} = \begin{bmatrix} I_{\kappa_2+1} \\ C_{\infty 2} \end{bmatrix} [I_{\kappa_2+1} - sJ_{\infty 2}]$$
(26)

and from (25)

$$C_{\infty 2} \left[I_{\kappa_2 + 1} - s J_{\infty 2} \right]^{-1} B_{\infty 2} = C_{\infty 2} B_{\infty 2}, \qquad (27)$$

so that

$$A(s) = \begin{bmatrix} C_{\infty 1} & C_{\infty 3} \end{bmatrix}$$

$$\times \begin{bmatrix} I_{\kappa_1+1} - sJ_{\infty 1} & 0\\ 0 & I_{\kappa_3+1} - sJ_{\infty 3} \end{bmatrix}^{-1} \begin{bmatrix} B_{\infty 1} \\ B_{\infty 3} \end{bmatrix}$$

$$+ C_{\infty 2}B_{\infty 2} + C_{\infty 4}B_{\infty 4} + D_{\infty}$$

$$= \widehat{C}_{\infty} \left(I_{\mu} - s\widehat{J}_{\infty}\right)^{-1}\widehat{B}_{\infty} + \widehat{D}_{\infty},$$

where

$$\widehat{C}_{\infty} := \begin{bmatrix} C_{\infty 1} & C_{\infty 3} \end{bmatrix} \in \mathbb{R}^{p \times \widehat{\mu}}, \quad (28)$$

$$\widehat{J}_{\infty} := \text{block diag}[J_{\infty 1}, J_{\infty 3}] \in \mathbb{R}^{\widehat{\mu} \times \widehat{\mu}}, \\
\widehat{B}_{\infty} := \begin{bmatrix} B_{\infty 1} \\ B_{\infty 3} \end{bmatrix} \in \mathbb{R}^{\widehat{\mu} \times m}, \\
\widehat{D}_{\infty} := C_{\infty 2} B_{\infty 2} + C_{\infty 4} B_{\infty 4} + D_{\infty}, \quad (29)$$

$$\widehat{\mu} = (\kappa_1 + 1) + (\kappa_3 + 1).$$

From the above example it follows that if, for some $i = 1, 2, ..., \eta$, the matrix pencil

$$\begin{bmatrix} I_{\kappa_i+1} - sJ_{\infty i} \\ C_{\infty i} \end{bmatrix}$$

has zeros of order κ_i at $s = \infty$, then when $\overline{C}_{\infty} (I_{\mu} - sJ_{\infty})^{-1}$ is formed the system poles at $s = \infty$ of the GSS realization $\Sigma_g = [\overline{C}_{\infty}, J_{\infty}, \overline{B}_{\infty}, D_{\infty}]$ that correspond to the zero at $s = \infty$ of

$$\left[\begin{array}{c}I_{\kappa_i+1}-sJ_{\infty i}\\C_{\infty i}\end{array}\right]$$

of order κ_i "cancel out" and they do not appear as *poles* at $s = \infty$ of the polynomial matrix $A(s) = \overline{C}_{\infty} (I_{\mu} - sJ_{\infty})^{-1} \overline{B}_{\infty}$ (see (25)). Thus a GSS realization

$$\widehat{\Sigma}_g = \left[\widehat{C}_{\infty}, \widehat{J}_{\infty}, \widehat{B}_{\infty}, \widehat{D}_{\infty}\right]$$

of A(s) is obtained which has generalized order $f_g := \kappa_1 + \kappa_3 < f_g$. Similar remarks apply if for some $i \in \{1, 2, 3\}$ the matrix pencil $[I_{\kappa_i+1} - sJ_{\infty i}, B_{\infty i}]$ has zeros at $s = \infty$.

The analysis in the above example gives rise to the concept of *decoupling zeros* at $s = \infty$ of a GSS realization $\Sigma_g = [C_{\infty}, A_{\infty}, B_{\infty}, D_{\infty}]$ of a polynomial matrix A(s).

Definition 3. The *input decoupling zeros* (*i.d.z.*) (*output decoupling zeros* (*o.d.z.*)) at $s = \infty$ of a GSS realization $\Sigma_g = [C_{\infty}, A_{\infty}, B_{\infty}, D_{\infty}]$ of a polynomial matrix A(s) are the zeros at $s = \infty$ of the singular matrix pencil $[I_{\mu} - sA_{\infty}, B_{\infty}] \left(\begin{bmatrix} [c]cI_{\mu} - sA_{\infty} \\ C_{\infty} \end{bmatrix} \right)$. The *input-output decoupling zeros* (*i.o.d.z.*) at $s = \infty$ of Σ_g are the *common zeros* at $s = \infty$ of the singular matrix pencils

$$[I_{\mu} - sA_{\infty}, B_{\infty}], \quad \begin{bmatrix} I_{\mu} - sA_{\infty} \\ C_{\infty} \end{bmatrix}.$$

The decoupling zeros (d.z.) at $s = \infty$ of Σ_g are the elements of the set

$$\{i.d.z. ats = \infty of \Sigma_g\} + \{o.d.z.ats = \infty of \Sigma_g\} - \{i.o.d.zerosats = \infty of \Sigma_g\}.$$

Candidates for (*i.d.z.*) and (*o.d.z*) at $s = \infty$ of a GSS realization of $\Sigma_g = [C_{\infty}, A_{\infty}, B_{\infty}, D_{\infty}]$ of a polynomial matrix A(s) are the zeros at $s = \infty$ of $I_{\mu} - sA_{\infty}$, i.e., the system poles of Σ_g at $s = \infty$.

Definition 4. A GSS realization

$$\widehat{\Sigma}_g = [C_\infty, A_\infty, B_\infty, D_\infty]$$

of a polynomial matrix A(s) which has no input and no output decoupling zeros at $s = \infty$ is called an *irreducible* at $s = \infty$ GSS realization of A(s).

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From Corollary 2 we obtain the following result.

Proposition 2. A GSS realization

$$\Sigma_g = [\overline{C}_{\infty} \in \mathbb{R}^{p \times \mu}, J_{\infty} \in \mathbb{R}^{\mu \times \mu}, \overline{B}_{\infty} \in \mathbb{R}^{\mu \times m}$$
$$D_{\infty} \in \mathbb{R}^{p \times m}]$$

of a polynomial matrix A(s) has no input and no output decoupling zeros at $s = \infty$, i.e., it is irreducible at $s = \infty$ or, equivalently, $[I_{\mu} - sJ_{\infty}, \overline{B}_{\infty}]$ and

$$\left[\begin{array}{c} I_{\mu} - sJ_{\infty} \\ \overline{C}_{\infty} \end{array}\right]$$

have no zeros at $s = \infty$ iff

$$\operatorname{rank}_{\mathbb{R}}\left[J_{\infty}, \overline{B}_{\infty}\right] = \mu \quad and \quad \operatorname{rank}_{\mathbb{R}}\left[\begin{array}{c}J_{\infty}\\\overline{C}_{\infty}\end{array}\right] = \mu.$$

The next Proposition says that if the Smith-McMillan form $S_{A(s)}^{\infty}$ at $s = \infty$ of A(s) is given by (2) and $C_{\infty}, A_{\infty}, B_{\infty}, D_{\infty} = 0$ is a GSS realization of A(s)which is obtained as in Remark 1, i.e., as a *minimal* state space realization of the strictly proper rational matrix $\overline{A}(s) := \frac{1}{s}A(\frac{1}{s}) \in \mathbb{R}_{pr}(s)^{p \times m}$, then this realization is an *irreducible at* $s = \infty$ GSS realization of A(s) and the number η of the Jordan blocks $J_{\infty i}$ in the Jordan form J_{∞} in (8) satisfies

$$\eta = k,$$

while the indices κ_i of the sizes $\kappa_i + 1, i = 1, 2, ..., \eta$ of the Jordan blocks $J_{\infty i}$ of J_{∞} in (9) are given by

 $\kappa_i = q_i,$

where $q_i > 0$, i = 1, 2, ..., k are the non-zero orders of the poles at $s = \infty$ appearing in the Smith-McMillan form $S_{A(s)}^{\infty}$ at $s = \infty$ of A(s). This proposition also gives us necessary tools for the investigation of what constitutes a *minimal* GSS realization of a polynomial matrix A(s).

Proposition 3. Let $A(s) \in \mathbb{R}[s]^{p \times m}$ with

$$\operatorname{rank}_{\mathbb{R}(s)}A(s) = i$$

and the Smith-McMillan form at $s = \infty$

$$S_{A(s)}^{\infty} = \operatorname{diag}\left(\widetilde{s^{q_1}, \dots, s^{q_k}, I_{v-k}}, \frac{1}{s^{\widehat{q}_{v+1}}}, \dots, \frac{1}{s^{\widehat{q}_r}}, 0_{p-r,m-r}\right),$$
(30)

where $0 \le k \le v \le r$, and $q_1 \ge q_2 \ge \cdots \ge q_k > 0 = q_{k+1} = \cdots = q_v$, $\hat{q}_r \ge \hat{q}_{r-1} \ge \cdots \ge \hat{q}_{v+1} > 0$, are respectively the orders of the poles and zeros at $s = \infty$ of A(s). Let also $C_{\infty} \in \mathbb{R}^{p \times \mu}$, $A_{\infty} \in \mathbb{R}^{\mu \times \mu}$, $B_{\infty} \in \mathbb{R}^{p \times p}$

 $\mathbb{R}^{\mu \times m}$ be a minimal state space realization of $\overline{A}(s) \in \mathbb{R}_{pr}(s)^{p \times m}$, i.e.,

$$\overline{A}(s) := \frac{1}{s} A\left(\frac{1}{s}\right) = C_{\infty} \left(sI_{\mu} - A_{\infty}\right)^{-1} B_{\infty}, \quad (31)$$

and let $J_{\infty} := QA_{\infty}Q^{-1}$, $|Q| \neq 0$ be the Jordan normal form of A_{∞} . Then

(i) the McMillan degree $\delta_M(\overline{A}(s))$ of the strictly proper rational matrix $\overline{A}(s)$ is given by

$$\delta_M\left(\overline{A}(s)\right) = \sum_{i=1}^k q_i + v; \qquad (32)$$

(ii) we have

$$J_{\infty} = \text{block diag}\left[J_{\infty 1}, \dots, J_{\infty k}, 0_{v-k, v-k}\right] \in \mathbb{R}^{\mu \times \mu},$$
(33)

where

$$J_{\infty i} = \begin{bmatrix} 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \\ 0 & 0 & \dots & 0 \end{bmatrix} \in \mathbb{R}^{(q_i+1) \times (q_i+1)},$$

$$i = 1, 2, \dots, k;$$
(34)

(iii) $(C_{\infty}, A_{\infty}, B_{\infty})$ or, equivalently, $(\overline{C}_{\infty}, J_{\infty}, \overline{B}_{\infty})$ constitute an irreducible at $s = \infty$ GSS realization of A(s).

Proof. Consider the strictly proper rational matrix

$$\overline{A}(s) := \frac{1}{s} A\left(\frac{1}{s}\right)$$
$$= A_0 \frac{1}{s} + A_1 \frac{1}{s^2} + \dots + A_{q_1} \frac{1}{s^{q_1+1}} \in \mathbb{R}_{pr}(s)^{p \times m}.$$
(35)

Since A(s) is a polynomial, all poles of A(s) are located at $s = \infty$ and thus all poles of $\overline{A}(s)$ are at s = 0. Furthermore, if $S_{\overline{A}(s)}^0 \in \mathbb{R}(s)^{p \times m}$ is the (local) McMillan form of $\overline{A}(s)$ at s = 0, then

$$S_{\overline{A}(s)}^{0}(s) = \frac{1}{s} S_{A(s)}^{\infty}\left(\frac{1}{s}\right)$$

= diag $\underbrace{\left(\frac{1}{s^{q_{1}+1}}, \dots, \frac{1}{s^{q_{k}+1}}, \frac{1}{s}I_{v-k}, \frac{1}{v}\right)}_{v}$
 $s^{\hat{q}_{v+1}-1}, \dots, s^{\hat{q}_{r}-1}, 0_{p-r,m-r}\right).$ (36)

Thus, if

$$S_{\overline{A}(s)}^{\mathbb{C}}(s) = \operatorname{diag}\left[\frac{\epsilon_1(s)}{\psi_1(s)}, \dots, \frac{\epsilon_r(s)}{\psi_r(s)}, 0_{p-r,m-r}\right]$$

is the McMillan form (in \mathbb{C}) of $\overline{A}(s)$, then from (36) $\epsilon_i(s) = 1, i = 1, 2, \dots, v, \epsilon_i(s) = s^{\widehat{q}_i - 1}e(s), e(s) \in \mathbb{R}[s], e(0) \neq 0, i = v + 1, \dots, r \text{ and } \psi_i(s) = s^{q_i + 1}, i = 1, 2, \dots, k, \psi_i(s) = s, i = k + 1, \dots, v, \psi_i(s) = 1, i = v + 1, \dots, r.$ Now (i) follows from the fact that $\mu = \delta_M(\overline{A}(s)) := \sum_{i=1}^v \deg \psi_i(s) = \sum_{i=1}^k (q_i + 1) + v - k = \sum_{i=1}^k q_i + v.$ Furthermore, if $C_\infty \in \mathbb{R}^{p \times \mu}, A_\infty \in \mathbb{R}^{\mu \times \mu}, B_\infty \in \mathbb{R}^{\mu \times m}$ is a minimal state space realization of $\overline{A}(s)$, then the Smith form (in \mathbb{C}) of $[sI_\mu - A_\infty]$ is given by

$$S^{\mathbb{C}}_{[sI_{\mu}-A_{\infty}]} = \text{diag} [I_{\mu-v}, \psi_{v}(s), \dots, \psi_{1}(s)]$$

= diag $[I_{\mu-v}, sI_{v-k}, s^{q_{k}+1}, \dots, s^{q_{1}+1}]$
= $S^{\mathbb{C}}_{[sI_{\mu}-J_{\infty}]}$
(37)

and (ii) follows from the definition of the Jordan normal form J_{∞} of A_{∞} via the non-trivial invariant polynomials $\psi_i(w), i = 1, 2, ..., v$ of $sI_{\mu} - A_{\infty}$ in (37). (iii) The fact that $C_{\infty}, A_{\infty}, B_{\infty}$ constitutes a GSS realization of A(s)follows from (31) which, by the substitution $1/s \rightarrow s$, yields (6) with $D_{\infty} = 0$.

Now since $C_{\infty}, A_{\infty}, B_{\infty}$ is a minimal state space realization of $\overline{A}(s)$, it follows that

$$\operatorname{rank}_{\mathbb{C}} \begin{bmatrix} sI_{\mu} - A_{\infty}, B_{\infty} \end{bmatrix}$$
$$= \operatorname{rank}_{\mathbb{C}} \begin{bmatrix} sI_{\mu} - A_{\infty} \\ C_{\infty} \end{bmatrix} = \mu, \quad \forall s \in \operatorname{sp} (A_{\infty}),$$

where $\mu = \delta_M(\overline{A}(s))$. Using the fact that A_{∞} has all its eigenvalues at s = 0, it is easily seen that the above condition reduces to

$$\operatorname{rank}_{\mathbb{R}}[A_{\infty}, B_{\infty}] = \operatorname{rank}_{\mathbb{R}}\begin{bmatrix} A_{\infty} \\ C_{\infty} \end{bmatrix} = \mu.$$
 (38)

The fact that $C_{\infty}, A_{\infty}, B_{\infty}$ or, equivalently, $\overline{C}_{\infty}, J_{\infty}, \overline{B}_{\infty}$ are *irreducible at* $s = \infty$ GSS realizations of A(s) follows from (38) and Proposition 2.

Given a GSS realization $\Sigma_g = [C_{\infty} \in \mathbb{R}^{p \times \mu}, A_{\infty} \in \mathbb{R}^{\mu \times \mu}, B_{\infty} \in \mathbb{R}^{\mu \times m}, D_{\infty} \in \mathbb{R}^{p \times m}], \ \mu \in Z^+$ of a polynomial matrix $A(s) \in \mathbb{R} [s]^{p \times m}$, the above deliberations give rise to the next corollary which provides a relation between (i) the *set of zeros at* $s = \infty$ of the matrix pencil $I_{\mu} - sA_{\infty}$, (ii) the *set of poles at* $s = \infty$ of A(s), and (iii) the *set of decoupling zeros* at $s = \infty$ of Σ_g .

Corollary 4. There holds

$$\{set of zeros at s = \infty of [I_{\mu} - sA_{\infty}]\} \\\equiv \{set of poles at s = \infty of A(s)\} \\\cup \{set of decoupling zeros at s = \infty of \Sigma_g \}.$$
(39)

The above set relation gives rise to the equation

$$f_g := \{ \# \text{ of zeros at } s = \infty \text{ of } [I_\mu - sA_\infty] \}$$
(40)
= $\{ \# \text{ of poles at } s = \infty \text{ of } A(s) \}$
+ $\{ \# \text{ decoupling zeros at } s = \infty \text{ of } \Sigma_g \}.$

Remark 2. Using (14), (40) can be written as

$$f_g = \delta_M \left[I_\mu - s A_\infty \right]$$
(41)
= $\delta_M \left(A(s) \right) + \{ \# \text{ decoupling zeros at } s = \infty \text{ of } \Sigma_g \}$

Equation (40) gives rise to the inequality

$$f_g := \{ \# \text{ of zeros at } s = \infty \text{ of } [I_\mu - sA_\infty] \}$$

$$\geq \{ \# \text{ of poles at } s = \infty \text{ of } A(s) \}$$

$$=: \delta_M (A(s)) .$$
(42)

If a GSS realization $\widehat{\Sigma}_g = [C_{\infty}, A_{\infty}, B_{\infty}, D_{\infty}]$ of a polynomial matrix A(s) is irreducible at $s = \infty$, i.e., if $\widehat{\Sigma}_g$ has no i.d. and no o.d. zeros at $s = \infty$, then from Definition 3 we get

$$[\# \text{decoupling zeros } at \ s = \infty \text{ of } \Sigma_g] = 0,$$

and from (42) the generalized order of $\widehat{\Sigma}_g$ takes its least the value of

$$\widehat{f}_g = \delta_M \left[I_\mu - sA_\infty \right] = \operatorname{rank}_{\mathbb{R}} A_\infty$$
(43)
$$= \operatorname{rank}_{\mathbb{R}} J_\infty = \sum_{i=1}^k q_i =: \delta_M \left(A(s) \right),$$

which, by definition, is the McMillan degree $\delta_M(A(s))$ of A(s). In such a case the irreducible at $s = \infty$ GSS realization $\hat{\Sigma}_g = [C_{\infty}, A_{\infty}, B_{\infty}, D_{\infty}]$ of A(s) has the *least generalized order* \hat{f}_g among the generalized orders of all GSS realizations which give rise to A(s). As indicated by (43), the *least* generalized order \hat{f}_g of $\hat{\Sigma}_g$ can then be determined directly from the McMillan degree of the polynomial matrix A(s).

Definition 4, together with the above discussion and (42), gives rise to the following result.

Theorem 1. A GSS realization

$$\Sigma_g = [C_\infty, A_\infty, B_\infty, D_\infty]$$

of a polynomial matrix A(s) with the Smith-McMillan form at $s = \infty$ as in (30) is irreducible at $s = \infty$ iff

$$\widehat{f}_g := \{ \# \text{ of zeros at } s = \infty \text{ of } [I_\mu - sA_\infty] \}$$

$$= \delta_M [I_\mu - sA_\infty] = \operatorname{rank}_{\mathbb{R}} A_\infty$$

$$= \sum_{i=1}^k q_1 = \delta_M (A(s)).$$
(44)

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The next theorem is an analogue of Theorem 2.56 in (Vardulakis, 1991) for the case of irreducible at $s = \infty$ GSS realizations of a polynomial matrix A(s).

Theorem 2. Let $A(s) \in \mathbb{R}[s]^{p \times m}$ with $\operatorname{rank}_{\mathbb{R}(s)}A(s) = r$ and the Smith-McMillan form at $s = \infty$ as in (30).

Let $\widehat{\Sigma}_g = [C_{\infty} \in \mathbb{R}^{p \times \mu}, A_{\infty} \in \mathbb{R}^{\mu \times \mu}, B_{\infty} \in \mathbb{R}^{\mu \times m}, D_{\infty} \in \mathbb{R}^{p \times m}], \mu \in \mathbb{Z}^+$, be an irreducible at $s = \infty$ GSS realization of A(s). Then the zero structure at $s = \infty$ of $I_{\mu} - sA_{\infty}$ is isomorphic to the pole structure at $s = \infty$ of A(s), i.e., if $S^{\infty}_{A(s)}$ is given by (30), then the Smith-McMillan form at $s = \infty$ of $I_{\mu} - sA_{\infty}$ is given by

$$S_{[I_{\mu}-sA_{\infty}]}^{\infty} = \text{diag}\left[sI_{\hat{f}_{g}}, I_{v-k}, \frac{1}{s^{q_{k}}}, \dots, \frac{1}{s^{q_{1}}}\right], \quad (45)$$

where $\hat{f}_g = \operatorname{rank}_{\mathbb{R}} A_{\infty} = \operatorname{rank}_{\mathbb{R}} J_{\infty} = \sum_{i=1}^k q_i = \mu - v.$

Proof. Let $\Sigma_g = [C_{\infty}, A_{\infty}, B_{\infty}]$ be an irreducible at $s = \infty$ GSS realization of A(s) obtained as in Proposition 3 and let $J_{\infty} = QA_{\infty}Q^{-1}$ be the Jordan normal form of A_{∞} . Then the *pole-zero structure* at $s = \infty$ of $I_{\mu} - sA_{\infty}$ coincides with that of $I_{\mu} - sJ_{\infty}$. From Proposition 3, the form of J_{∞} in (33) and (34), (37) and since all finite zeros of $wI_{\mu} - J_{\infty}$ are at w = 0, we have

$$S^{\mathbb{C}}_{[wI_{\mu}-J_{\infty}]} = \operatorname{diag}\left[I_{\mu-v}, wI_{v-k}, w^{q_{k}+1}, \dots, w^{q_{1}+1}\right]$$
$$\equiv S^{0}_{[wI_{\mu}-J_{\infty}]},$$

and therefore from Remark after Exercise 4.44 in Chapter 4 in (Vardulakis, 1991) we have

$$S_{[I_{\mu}-sA_{\infty}]}^{\infty} \equiv S_{[I_{\mu}-sJ_{\infty}]}^{\infty} = sS_{[wI_{\mu}-J_{\infty}]}^{0} \left(\frac{1}{s}\right)$$
(46)
= diag $\left[sI_{\mu-\nu}, I_{\nu-k}, \frac{1}{s^{q_{k}}}, \cdots, \frac{1}{s^{q_{1}}}\right].$

4. Minimal GSS realizations of a polynomial matrix

Now we discuss the concept of a *minimal* GSS realization of a *polynomial* matrix. Although the concepts of *irreducibility* (*in* \mathbb{C}) and *minimality* of a *state space* realization of a *proper* rational matrix coincide, i.e., *irreducibility* (*in* \mathbb{C}) of a state space realization of a proper rational matrix implies and is implied by *minimality* of the dimension of the state space realization, this is not in general true for the analogous concepts of *irreducibility at* $s = \infty$ and *minimality* of a GSS realization of a *polynomial* matrix. In the following, we first define what constitutes a *minimal* GSS *realization* of a polynomial matrix and then illustrate the above points by showing that *minimality* of a GSS realization of a *polynomial* matrix implies its *irreducibility at* $s = \infty$ (Theorem 3) but that in general the reverse is not true. Through these results we give necessary tools for obtaining (i) a minimal GSS realization of a *polynomial matrix* $A(s) \in \mathbb{R}[s]^{p \times m}$ and (ii) the least value of the dimension $\overline{\mu}$ of the generalized state vector $x_{\infty}(t) \in \mathbb{R}^{\overline{\mu}}$ appearing in a *minimal* GSS realization of A(s).

Definition 5. A GSS realization $\widetilde{\Sigma}_g = [\widetilde{C}_{\infty} \in \mathbb{R}^{p \times \widetilde{\mu}}, \widetilde{J}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times \widetilde{\mu}}, \widetilde{B}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times m}, \widetilde{D}_{\infty} \in \mathbb{R}^{p \times m}$ of a polynomial matrix $A(s) \in \mathbb{R}[s]^{p \times m}$ is called *minimal* if it has the least number of generalized states or, equivalently, if its *dimension* $\widetilde{\mu}$ is minimal, i.e., $\widetilde{\mu} \leq \mu$ for each dimension μ of all other GSS realizations

$$\Sigma_g = [C_\infty \in \mathbb{R}^{p \times \mu}, A_\infty \in \mathbb{R}^{\mu \times \mu}, B_\infty \in \mathbb{R}^{\mu \times m}, \\ D_\infty \in \mathbb{R}^{p \times m}]$$

of A(s). The dimension $\tilde{\mu}$ of a minimal GSS realization of a polynomial matrix A(s) is called the *least dimension* of A(s).

The next theorem gives a necessary and sufficient condition for a GSS realization of a polynomial matrix to be *minimal*.

Theorem 3. (Karampetakis, 1993) Let $A(s) \in \mathbb{R}[s]^{p \times m}$ with $rank_{\mathbb{R}(s)}A(s) = r$ and the Smith-McMillan form at $s = \infty$ as in (2). Let also

$$\widetilde{\Sigma}_g = [\widetilde{C}_{\infty} \in \mathbb{R}^{p \times \widetilde{\mu}}, \widetilde{J}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times \widetilde{\mu}}, \widetilde{B}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times m}, \\ \widetilde{D}_{\infty} \in \mathbb{R}^{p \times m}]$$

be a GSS realization of A(s) with \widetilde{J}_{∞} in the Jordan normal form and let $\overline{A}(s) := \frac{1}{s}A\left(\frac{1}{s}\right) \in \mathbb{R}_{pr}(s)^{p \times m}$. Then $\widetilde{\Sigma}_g = [\widetilde{C}_{\infty}, \widetilde{J}_{\infty}, \widetilde{B}_{\infty}, \widetilde{D}_{\infty}]$ is a minimal GSS realization of A(s) iff

$$\widetilde{\mu} = \delta_M \left[\overline{A}(s) \right] - (v - k)$$
$$= \sum_{i=1}^k (q_i + 1) = k + \sum_{i=1}^k q_i. \quad (47)$$

Proof. We present the proof of (Karampetakis, 1993), which is constructive. As in Proposition 3, let $\overline{C}_{\infty} \in \mathbb{R}^{p \times \mu}$, $J_{\infty} \in \mathbb{R}^{\mu \times \mu}$, $\overline{B}_{\infty} \in \mathbb{R}^{\mu \times m}$ be a minimal state space realization of the strictly proper rational matrix $\overline{A}(s) := \frac{1}{s}A(\frac{1}{s}) \in \mathbb{R}_{pr}(s)^{p \times m}$ with J_{∞} in the Jordan normal form as in (33), (34), and partition the matrices

 $\overline{C}_{\infty}, J_{\infty}, \overline{B}_{\infty}$ as

$$J_{\infty} = \text{block diag} \left[\widetilde{J}_{\infty}, 0_{v-k,v-k} \right],$$

$$\widetilde{J}_{\infty} = \text{block diag}[J_{\infty 1}, J_{\infty 2}, \dots, J_{\infty k}] \in \mathbb{R}^{\widetilde{\mu} \times \widetilde{\mu}},$$

$$J_{\infty i} \in \mathbb{R}^{(q_i+1) \times (q_i+1)}, i = 1, 2, \dots, k,$$

$$\overline{B}_{\infty} =: \left[\begin{array}{cc} \widetilde{B}_{\infty} \\ \widehat{B}_{\infty} \end{array} \right], \quad \widetilde{B}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times m},$$

$$\widehat{B}_{\infty} \in \mathbb{R}^{(v-k) \times m}, \quad \overline{C}_{\infty} =: \left[\begin{array}{cc} \widetilde{C}_{\infty} & \widehat{C}_{\infty} \end{array} \right],$$

$$\widetilde{C}_{\infty} \in \mathbb{R}^{p \times \widetilde{\mu}}, \quad \widehat{C}_{\infty} \in \mathbb{R}^{p \times (v-k)},$$

where

$$\widetilde{\mu} = \sum_{i=1}^{k} (q_i + 1) = \mu - (v - k)$$

Using the above partitioning and Proposition 3(iii), we get

$$\begin{bmatrix} \widetilde{J}_{\infty} & 0_{\widetilde{\mu},v-k} \\ 0_{v-k,\widetilde{\mu}} & 0_{v-k,v-k} \end{bmatrix} \begin{bmatrix} \dot{x}_{1\infty}(t) \\ \dot{x}_{2\infty}(t) \end{bmatrix}$$
$$= \begin{bmatrix} x_{1\infty}(t) \\ x_{2\infty}(t) \end{bmatrix} - \begin{bmatrix} \widetilde{B}_{\infty} \\ \widehat{B}_{\infty} \end{bmatrix} u(t), \quad (48)$$

$$y(t) = \begin{bmatrix} \widetilde{C}_{\infty} & \widehat{C}_{\infty} \end{bmatrix} \begin{bmatrix} x_{1\infty}(t) \\ x_{2\infty}(t) \end{bmatrix}$$
(49)

is an irreducible at $s = \infty$ GSS realization of A(s). The vector $x_{2\infty}(t)$ in (48) represents v - k "non-dynamic" variables associated with the $(v - k) \times (v - k)$ zero block in J_{∞} and is related to the input u(t) via $x_{2\infty}(t) = \hat{B}_{\infty}u(t)$. Thus (48) and (49) can be written as

$$\widetilde{J}_{\infty}\dot{x}_{1\infty}(t) = x_{1\infty}(t) - \widetilde{B}_{\infty}u(t),$$
(50)

$$y(t) = \widetilde{C}_{\infty} x_{1\infty}(t) + \widehat{C}_{\infty} x_{2\infty}(t)$$

$$= \widetilde{C}_{\infty} x_{1\infty}(t) + \widetilde{D}_{\infty} u(t),$$
(51)

where $\widetilde{D}_{\infty} := \widehat{C}_{\infty}\widehat{B}_{\infty} \in \mathbb{R}^{p \times m}$. Recall that from Theorem 1 the condition $\operatorname{rank}_{\mathbb{R}}\widetilde{J}_{\infty} = \sum_{i=1}^{k} q_1 = \delta_M(A(s))$ implies that the GSS realization $\widetilde{C}_{\infty} \in \mathbb{R}^{p \times \widetilde{\mu}}, \widetilde{J}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times \widetilde{\mu}}, \widetilde{B}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times m}, \widetilde{D}_{\infty} \in \mathbb{R}^{p \times m}$ of A(s) in (50), (51) is also an irreducible at $s = \infty$ GSS realization of A(s). We will now show that it is also minimal.

Suppose now that there exists another irreducible at an $s = \infty$ GSS realization $\underline{C}_{\infty} \in \mathbb{R}^{p \times \overline{\mu}}, \underline{J}_{\infty} \in \mathbb{R}^{\overline{\mu} \times \overline{\mu}}, \underline{B}_{\infty} \in \mathbb{R}^{\overline{\mu} \times m}, \underline{D}_{\infty} \in \mathbb{R}^{p \times m}$ of A(s) with no non-dynamic variables with

$$S^{\infty}_{\left[I_{\overline{\mu}}-s_{\underline{J}_{\infty}}\right]} = \operatorname{diag}\left[sI_{g}, I_{\overline{v}-\overline{k}}, \frac{1}{s^{\overline{q}_{k}}}, \frac{1}{s^{\overline{q}_{k-1}}}, \dots, \frac{1}{s^{\overline{q}_{1}}}\right],$$

where $\overline{q}_i > 0$, $i = 1, 2, ..., \overline{k}$ are the orders of the zeros at $s = \infty$ of $[I_{\overline{\mu}} - s\underline{J}_{\infty}]$ and such that $\overline{\mu} < \widetilde{\mu}$, or equivalently, such that

$$\overline{\mu} = \sum_{i=1}^{\overline{k}} \left(\overline{q}_i + 1\right) < \sum_{i=1}^{k} \left(q_i + 1\right) = \widetilde{\mu}.$$
 (52)

Combining (42) and (52), we obtain

$$\sum_{i=1}^{\overline{k}} (\overline{q}_i + 1) = \sum_{i=1}^{\overline{k}} \overline{q}_i + \overline{k} < \sum_{i=1}^{k} (q_i + 1) \le \sum_{i=1}^{\overline{k}} \overline{q}_i + k,$$
(53)

which implies

$$\overline{k} < k. \tag{54}$$

But since the GSS realizations $[\tilde{C}_{\infty} \in \mathbb{R}^{p \times \tilde{\mu}}, \tilde{J}_{\infty} \in \mathbb{R}^{\tilde{\mu} \times \tilde{\mu}}, \tilde{B}_{\infty} \in \mathbb{R}^{\tilde{\mu} \times m}, \tilde{D}_{\infty} \in \mathbb{R}^{p \times m}]$ and $[\underline{C}_{\infty} \in \mathbb{R}^{p \times \overline{\mu}}, \underline{J}_{\infty} \in \mathbb{R}^{\overline{\mu} \times \overline{\mu}}, \underline{B}_{\infty} \in \mathbb{R}^{\overline{\mu} \times m}, \underline{D}_{\infty} \in \mathbb{R}^{p \times m}]$ of A(s) are both irreducible at $s = \infty$, according to Theorem 2 the *pole structure* at $s = \infty$ of A(s) is isomorphic to the *zero structure* at $s = \infty$ of $[I_{\tilde{\mu}} - s\tilde{J}_{\infty}]$ and $[I_{\overline{\mu}} - s\underline{J}_{\infty}]$ (42), and thus

$$k = \natural \text{ of zeros at } s = \infty \text{ of } [I_{\overline{\mu}} - s\underline{J}_{\infty}]$$
(55)
= \\\zeta \overline{ of poles at } s = \infty \overline{ of } A(s) = k,

where the symbol ' \natural ' denotes the total number of zeros at $s = \infty$ without counting orders. From (55) we can see that (54) is not true, which contradicts our assumption that there exists a GSS realization $\underline{C}_{\infty} \in \mathbb{R}^{p \times \overline{\mu}}, \underline{J}_{\infty} \in \mathbb{R}^{\overline{\mu} \times \overline{\mu}}, \underline{B}_{\infty} \in \mathbb{R}^{\overline{\mu} \times m}, \underline{D}_{\infty} \in \mathbb{R}^{p \times \overline{m}}$ of A(s) satisfying $\overline{\mu} < \widetilde{\mu}$.

Remark 3. Two important conclusions that result from Theorem 3 are the following:

(i) A necessary condition for a GSS realization

$$\widetilde{\Sigma}_g = [\widetilde{C}_{\infty} \in \mathbb{R}^{p \times \widetilde{\mu}}, \ \widetilde{J}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times \widetilde{\mu}}, \ \widetilde{B}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times m}, \\ \widetilde{D}_{\infty} \in \mathbb{R}^{p \times m}]$$

of a polynomial matrix A(s) to be *minimal* is that the realization must be *irreducible* at $s = \infty$ and that the realization has no non-dynamic variables.

(ii) The least dimension that a GSS realization of A(s) may have is μ̃ = ∑_{i=1}^k (q_i + 1), where q_i > 0, i = 1, 2, ..., k are the nonzero orders of the poles at s = ∞ of A(s).

Notice that if v = k, i.e., if in (48) there are not "nondynamic variables" (equivalently if there is no I_{v-k} block in (30)), then $\tilde{\mu} \equiv \mu$ and a minimal state space realization $C_{\infty} \in \mathbb{R}^{p \times \mu}$, $J_{\infty} \in \mathbb{R}^{\mu \times \mu}$, $B_{\infty} \in \mathbb{R}^{\mu \times m}$ of $\overline{A}(s) \in \mathbb{R}_{pr}(s)^{p \times m}$ coincides with a *minimal* GSS realization $\widetilde{C}_{\infty} \in \mathbb{R}^{p \times \widetilde{\mu}}$, $\widetilde{J}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times \widetilde{\mu}}$, $\widetilde{B}_{\infty} \in \mathbb{R}^{\widetilde{\mu} \times m}$, $\widetilde{D}_{\infty} \in \mathbb{R}^{p \times m}$ of $A(s) \in \mathbb{R}[s]^{p \times m}$.

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Corollary 5. A GSS realization of a polynomial matrix A(s) is a minimal GSS realization if it is an irreducible at $s = \infty$ GSS realization and has no non-dynamic variables.

For conventional state space realizations of a *proper* rational matrix A(s), the least possible dimension of a state space realization is known as the *least order* $\nu(A(s))$ of A(s) (Rosenbrock, 1970). The next remark gives an algorithm for the computation of the least dimension $\tilde{\mu}$ of a minimal GSS realization of a polynomial matrix.

Remark 4. (Karampetakis, 1993) The *least dimension* $\tilde{\mu} = \sum_{i=1}^{k} (q_i + 1)$ of a *minimal* GSS realization of a polynomial matrix $A(s) \in \mathbb{R}^{p \times m}[s]$ can be calculated without computing the orders of the poles at $s = \infty$ in the Smith-McMillan form at $s = \infty$ of A(s). Let

$$\mathcal{X}_{p} = \begin{bmatrix} A_{q_{1}} & 0 & \cdots & 0 \\ \vdots & A_{q_{1}} & \ddots & 0 \\ A_{p+1} & \ddots & \ddots & \vdots \\ A_{p} & A_{p+1} & \cdots & A_{q_{1}} \end{bmatrix},$$

 $p = 0, 1, \ldots, q_1$. From Exercise 3.89 in (Vardulakis, 1991), we have

$$\delta_M (A(s)) = \operatorname{rank} \mathcal{X}_1$$

$$= \delta_M \left(A_1 s + A_2 s^2 + \dots + A_{q_1} s^{q_1} \right).$$
(56)

Applying the same result to \mathcal{X}_2 , we get

$$\operatorname{rank}_{\mathbb{R}} \mathcal{X}_{2} = \delta_{M} \left(A_{2}s + A_{3}s^{2} + \dots + A_{q_{1}}s^{q_{1}-1} \right)$$
$$= \delta_{M} \left(A_{1} + A_{2}s + \dots + A_{q_{1}}s^{q_{1}-1} \right)$$
$$= \delta_{M} \left(\frac{A_{1}s + A_{2}s^{2} + \dots + A_{q_{1}}s^{q_{1}}}{s} \right)$$
$$= \delta_{M} \left(\frac{1}{s}A(s) \right).$$

Since A(s) has only poles at $s = \infty$, it can be easily seen that

$$\delta_M\left(\frac{1}{s}A(s)\right) = \sum_{i=1}^k \left(q_i - 1\right) = \delta_M\left(A(s)\right) - k.$$
 (57)

From (57) the number k of strictly positive orders $q_i > 0$ of poles at $s = \infty$ of A(s) is given by

$$k = \delta_M \left(A(s) \right) - \delta_M \left(\frac{1}{s} A(s) \right), \tag{58}$$

which, due to (56), gives

$$k = \operatorname{rank} \mathcal{X}_1 - \operatorname{rank}_{\mathbb{R}} \mathcal{X}_2$$

and, therefore,

$$\widetilde{\mu} = 2 \operatorname{rank} \mathcal{X}_1 - \operatorname{rank}_{\mathbb{R}} \mathcal{X}_2.$$
(59)

If A(s) is a $p \times m$ column (row) proper polynomial matrix with $p \ge m$ ($p \le m$), then an *irreducible* at $s = \infty$ GSS realization of A(s) as well as the Smith-McMillan form at $s = \infty$ of A(s) can be obtained by inspection. This is stated in the following result.

Proposition 4. Let $A(s) \in \mathbb{R}[s]^{p \times m}$, $p \ge m$, be column proper and let $v_i = \deg a_j(s)$, where $a_j(s) = a_{j0} + a_{j1}s + \cdots + a_{jv_j}s^{v_j} \in \mathbb{R}[s]^{p \times 1}$, $j = 1, 2, \ldots, m$ are the *m* columns of A(s). Then an irreducible at $s = \infty$ GSS realization C_{∞} , J_{∞} , B_{∞} of A(s) can be obtained by inspection and is given by

$$C_{\infty} = [a_{1v_1}, \cdots, a_{10} \mid \cdots \mid a_{mv_m}, \cdots, a_{m0}] \in \mathbb{R}^{p \times \mu},$$
(60)

$$J_{\infty} = \text{block diag}\left[J_{\infty 1}, J_{\infty 2}, \cdots, J_{\infty m}\right] \in \mathbb{R}^{\mu \times \mu},$$
(61)

$$J_{\infty j} = \begin{bmatrix} 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \\ 0 & 0 & \dots & 0 \end{bmatrix} \in \mathbb{R}^{(v_i+1) \times (v_i+1)}, \quad (62)$$

$$B_{\infty} = \text{block diag} \left[b_1, b_2, \dots, b_m \right] \in \mathbb{R}^{\mu \times m}$$
(63)

$$b_j = [0, 0, \dots, 0, 1]^\top \in \mathbb{R}^{(v_j + 1) \times 1},$$
 (64)

where j = 1, 2, ..., m and $\mu = \sum_{j=1}^{m} (v_j + 1)$.

Proof. Write $A(s) = [A(s)]_c^h \operatorname{diag}(s^{v_1}, \dots s^{v_m}) + A_1 \overline{S}(s)$, where $[A(s)]_c^h$ denotes the highest column degree coefficient matrix of the matrix inside the brackets and

$$\overline{S}(s) = \text{block diag} \left\{ \begin{bmatrix} s^{v_1 - 1} \\ \vdots \\ s \\ 1 \end{bmatrix}, \cdots, \begin{bmatrix} s^{v_m - 1} \\ \vdots \\ s \\ 1 \end{bmatrix} \right\},$$
(65)

 $A_1 \in \mathbb{R}^{p \times \mu}$. Then

$$\frac{1}{w}A\left(\frac{1}{w}\right)$$

$$= [A(s)]_{c}^{h} \operatorname{diag}\left(\frac{1}{w^{v_{1}+1}}, \dots, \frac{1}{w^{v_{m}+1}}\right) + \frac{1}{w}A_{1}\overline{S}\left(\frac{1}{w}\right)$$

$$= [A(s)]_{c}^{h} + \frac{1}{w}A_{1}\overline{S}\left(\frac{1}{w}\right)\operatorname{diag}\left(w^{v_{1}+1}, \dots, w^{v_{m}+1}\right)$$

$$\times \left[\operatorname{diag}\left(w^{v_{1}+1}, \dots, w^{v_{m}+1}\right)\right]^{-1}$$

$$= N(w)D(w)^{-1},$$
(66)

where $N(w) \in \mathbb{R}[w]^{p \times m}$ can also be written as

$$N(w) = C_{\infty}S(w) \tag{67}$$

with

$$S(w) = \text{block diag} \left\{ \begin{bmatrix} 1 \\ w \\ \vdots \\ w^{v_1} \end{bmatrix}, \dots, \begin{bmatrix} 1 \\ w \\ \vdots \\ w^{v_m} \end{bmatrix} \right\}.$$
(68)

Now, since for w = 0,

$$\operatorname{rank} \begin{bmatrix} D(0) \\ N(0) \end{bmatrix} = \operatorname{rank} \begin{bmatrix} 0_{m,m} \\ [A(s)]_c^h \end{bmatrix} = m$$

and for $0 \neq w \in \mathbb{C}$,

$$\operatorname{rank}_{\mathbb{C}} \left[\begin{array}{c} D(w) \\ N(w) \end{array} \right] = m_{1}$$

we have that the expression in (66) is a right coprime MFD of $\frac{1}{w}A(\frac{1}{w}) \in \mathbb{R}_{pr}(s)^{p \times m}$ with D(w) column proper. Thus, $C_{\infty}, J_{\infty}, B_{\infty}$ is a minimal state space realization of $\frac{1}{w}A(\frac{1}{w})$.

Remark 5. A dual result can be obtained for every $p \times m$ $(p \le m)$ row proper polynomial matrix A(s) by transposing A(s) and applying Remark 3. Comparing the dimensions of the Jordan blocks $J_{\infty i}$ in (34) of Proposition 3 and those of the Jordan blocks in (62) of Proposition 4, we can easily conclude that if A(s) is column (row) proper, then its column (row) degrees v_j , j = 1, 2, ..., m $(r_i, i = 1, 2, ..., p)$ are equal to the orders of its poles at $s = \infty$, i.e., that $v_j \equiv q_j$, j = 1, 2, ..., m $(r_i \equiv q_i, i = 1, 2, ..., p)$ and that A(s) has no zeros at $s = \infty$.

5. Conclusions

In this paper we have discussed the concepts of *irre-ducibility* and *minimality* of "pure" generalized state space realizations of polynomial transfer function matrices. Our main focus was to point out the difference between these two concepts. Irreducibility of "pure" generalized state space systems was shown to be associated with the absence of decoupling zeros at infinity, and the cancellation mechanism of zeros at infinity was clearly explained. On the other hand, it turned out that a generalized state space realization had minimal state dimension if it was irreducible (at infinity) and had no non-dynamic variables. Furthermore, we proposed both a method for the determination of the minimal state dimension and the construction of a minimal "pure" generalized state space realization.

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