A SECOND-ORDER TV-BASED COUPLING MODEL AND AN ADMM ALGORITHM FOR MR IMAGE RECONSTRUCTION

BO ZHOU a,b,∗, YU-FEI YANG c,a, BO-XIA HU a,d

a College of Mathematics and Econometrics
Hunan University
Changsha 410082, Hunan, China
e-mail: 645534333@qq.com

b College of Computer Science and Electronic Engineering
Hunan University
Changsha 410082, Hunan, China

c School of Computer Engineering and Applied Mathematics
Changsha University
Changsha 410003, Hunan, China
e-mail: yangyufei_hd@sina.com

d College of Mathematics and Statistics
Hengyang Normal University
Hengyang 421000, Hunan, China

Motivated by ideas from two-step models and combining second-order TV regularization in the LLT model, we propose a coupling model for MR image reconstruction. By applying the variables splitting technique, the split Bregman iterative scheme, and the alternating minimization method twice, we can divide the proposed model into several subproblems only related to second-order PDEs so as to avoid solving a fourth-order PDE. The solution of every subproblem is based on generalized shrinkage formulas, the shrink operator or the diagonalization technique of the Fourier transform, and hence can be obtained very easily. By means of the Barzilai–Borwein step size selection scheme, an ADMM type algorithm is proposed to solve the equations underlying the proposed model. The results of numerical implementation demonstrate the feasibility and effectiveness of the proposed model and algorithm.

Keywords: MRI reconstruction, LLT model, LOT model, coupling model, ADMM, split Bregman, wavelet transform.

1. Introduction

Magnetic resonance (MR) imaging is a non-invasive and non-ionizing imaging technique which enables excellent visualization of both anatomical structures and physiological functions. However, this imaging modality is time-consuming because data samples in MR imaging are acquired sequentially in $k$-space (Yang et al., 2010; Miao et al., 2011) of the spatial Fourier transform of the object. Therefore, many MR techniques (Yang et al., 2010; Miao et al., 2011), including compressive sensing (CS) (Lustig et al., 2007), have been developed to reduce the amount of data needed for accurate reconstruction.

There are many PDE-based models to solve the MR image reconstruction problem, e.g., the TVL1-L2 model introduced by Yang et al. (2010). Based on total variation (TV) regularization and wavelets, Lustig et al. (2007) proposed a constrained minimization model as follows:

$$\min_u \|u\|_{TV} + \alpha \|\Phi^T u\|_1,$$

subject to

$$\|Au - f\|_2 < \sigma,$$  \hspace{1cm} (1)

∗Corresponding author
where
\[
\|u\|_{TV} = \|\nabla u\|_1 = \int_\Omega |\nabla u| \, dx,
\]
\[
|\nabla u| = \sqrt{(\nabla_x u)^2 + (\nabla_y u)^2},
\]
\[
\|u\|_{TV} = \|\nabla u\|_1 = \int_\Omega |\nabla u| \, dx,
\]
\[
\nabla^2 u = \begin{bmatrix} \nabla_{xx} u & \nabla_{xy} u \\ \nabla_{yx} u & \nabla_{yy} u \end{bmatrix},
\]
\[
|\nabla^2 u| = \sqrt{(\nabla_{xx} u)^2 + (\nabla_{xy} u)^2 + (\nabla_{yx} u)^2 + (\nabla_{yy} u)^2}.
\]

\(u\) represents the reconstructed image and \(\Phi\) is the wavelet transform, \(\| \cdot \|_1\) is the \(L_1\) norm and the superscript \(T\) is the conjugate transpose of a matrix, \(A\) is the partial Fourier operator, \(f\) denotes partially scanned \(k\) space data and \(\sigma\) is an estimate of the noise level in the data. TV regularization and wavelet transforms can be regarded as forcing the MR image to be sparse.

Several fast algorithms (Chen et al., 2012; Yang et al., 2010; Ye et al., 2011) have been developed to solve the unconstrained version of the model (1) (called the TVL1 model):
\[
\min_u \|u\|_{TV} + \alpha \|\Phi^T u\|_1 + \frac{\beta}{2} \|Au - f\|^2,
\]
where \(\beta\) is a regularization parameter. These algorithms involved only the computation of shrinkage operators and the fast Fourier transform. Especially, the Barzilai–Borwein (BB) step size selection scheme was adopted by Ye et al. (2011) for faster convergence, and only an explicit system needs to be solved because the efficiency of the proposed algorithm did not rely on the fact that \(A^T A\) could be diagonalized by fast transforms.

The second order model of PDE-based image reconstruction like (1) has a TV-based regularization term, which was introduced by Rudin et al. (1992) for image denoising (called the ROF model). Unfortunately, TV regularization suffers from the so-called staircase effect, i.e., the conversion of smooth regions into piecewise constant ones, which may generate block-like images. In order to overcome the inherent shortcoming of TV regularization, some higher order models have been developed (Lysaker et al., 2003; Steidl, 2006; You and Kaveh, 2000), such as the LLT model proposed by Lysaker et al. (2003), the corresponding numerical methods are also similar to those of the ROF model. Inspired by the LLT model, Xie et al. (2014) proposed a higher-order model for MR image reconstruction in the following (called the TVL2 model):
\[
\min_u \|u\|_{TV^2} + \alpha \|\Phi^T u\|_1 + \frac{\beta}{2} \|Au - f\|^2,
\]
where
\[
\|u\|_{TV^2} = \|\nabla^2 u\|_1 = \int_\Omega |\nabla^2 u| \, dx,
\]
\[
\nabla^2 u = \begin{bmatrix} \nabla_{xx} u & \nabla_{xy} u \\ \nabla_{yx} u & \nabla_{yy} u \end{bmatrix},
\]
\[
|\nabla^2 u| = \sqrt{(\nabla_{xx} u)^2 + (\nabla_{xy} u)^2 + (\nabla_{yx} u)^2 + (\nabla_{yy} u)^2}.
\]

However, it is more difficult to solve higher-order models than the ROF one since some high-order derivatives are included in these models. In addition, they tend to introduce some blurring in regions of image edges.

In order to avoid the staircase effect while alleviating edge blurring, Lysaker et al. (2004) proposed a two-step method for image denoising (called the LOT model). The first step of the classic LOT model (Lysaker et al., 2004) is to solve the following problem:
\[
\min_u \int_\Omega |\nabla n| \, dx + \frac{\alpha}{2} \int_\Omega (n - \frac{\nabla f}{|\nabla f|})^2 \, dx,
\]
where the first term is the regularization term, \(|\nabla n|\) is the total variation of the unit normal vector \(n\) for the level curves of image \(u\), and \(\alpha\) is a regularization parameter. The second step is to reconstruct the restored image \(u\) by solving the following minimization problem:
\[
\min_u \int_\Omega (|\nabla u| - n^* \cdot \nabla u) \, dx + \frac{\beta}{2} \int_\Omega (u - f)^2 \, dx,
\]
where \(n^*\) is the solution of (1) and \(\beta\) is a regularization parameter. The LOT model may restore edges and discontinuities in a better way than the LLT one while alleviating the staircase effect. However, if the information about the noise is not known, the LOT model cannot preserve edges or textures well. Furthermore, three discrete nonlinear second-order PDEs derived from the LOT model have to be computed so that algorithms for solving model equations are slow.

For the sake of overcoming the drawbacks of the above models, motivated by the ideas from two-step models (Dong et al., 2009; Hao et al., 2012; Yang et al., 2011) and by combining second-order TV regularization in the LLT model (Lysaker et al., 2003), in this paper, we propose a novel model coupling two variables for MR image reconstruction. By using the variables splitting technique, the split Bregman iterative scheme, and the alternating minimization method (Chan et al., 2011), we can divide the proposed model into five subproblems. In order to avoid solving a fourth-order PDE, we use again the variables splitting technique and the split Bregman iterative scheme so that in this paper we only need to solve second-order PDEs with less computation based on generalized shrinkage formulas, the shrink operator and the diagonalization technique of the Fourier transform. The Barzilai–Borwein step size selection scheme (Wright et al., 2009) is also adopted to accelerate convergence. On this basis, we propose an ADMM-type algorithm to solve the proposed model. Several numerical experiments are carried out, and the experimental results indicate that the proposed algorithm is efficient and can provide better MR reconstructed images.

The organization of this paper is as follows. In the next section, we first propose a second-order TV-based
A second-order TV-based coupling model and an ADMM algorithm for MR image reconstruction

coupling model for MR image reconstruction. Then, by applying the split Bregman iterative scheme twice and based on generalized shrinkage formulas, the shrink operator, and the diagonalization technique of the Fourier transform, we propose an ADMM type algorithm to solve the proposed model. Some numerical experiments are implemented in Section 3 to verify the feasibility and effectiveness of the proposed model and algorithm. In the last section, we provide some concluding remarks.

Some symbols are introduced in this paper: \( u \in \mathbb{R}^N \) is the objective image consisting of \( N \) pixels. \( \Phi^T = (\phi_1, \phi_2, \ldots, \phi_N)^T \in \mathbb{C}^{N \times N} \) is usually a proper orthogonal matrix (e.g., the Haar wavelet) sparsifying the underlying image \( u \), \( f \in \mathbb{C}^M \) with \( M \leq N \) is the partially scanned data and \( R \in \mathbb{R}^{M \times N} \) is the binary matrix representing the sampling pattern. Noting that the Fourier transform \( \mathcal{F} \) is in fact an \( N \times N \) unitary matrix, \( A = RF \) is the partial Fourier transform operator.

2. Coupling model and the ADMM algorithm

Inspired by the advantages in the classical LOT model (Lysaker et al., 2004), a deformation of the LOT model has been developed (Dong et al., 2009; Hao et al., 2012; Yang et al., 2011). In the first step, instead of smoothing the unit normal vector \( n \) as in the LOT model (Lysaker et al., 2004), the authors smoothed the angle \( \theta \), where \( n = (\cos \theta, \sin \theta) \), thus only one variable was solved so as to speed the computation. The two models were expressed in the two-step method (Yang et al., 2011) as follows:

\[
\begin{align*}
\min_{u, \theta, \eta, \zeta} & \int_\Omega |\nabla \theta| \, dx + \frac{\beta}{2} \int_\Omega (\theta - \theta_0)^2 \, dx, \\
\text{subject to} & \int_\Omega (|\nabla u| - (\cos \theta, \sin \theta) \cdot \nabla u) \, dx \\
& + \int_\Omega (f - u)^2 \, dx,
\end{align*}
\] (6)

where \( \theta_0 = \text{atan}(u_{0y}/u_{0x}) \), \((u_{0x}, u_{0y})^T = \nabla f / |\nabla f| \).

Motivated by the above ideas and by combining second-order TV regularization in the LLT model (Lysaker et al., 2003), in this paper, we propose a novel model coupling two variables \( \theta \) and \( u \) for MR image reconstruction expressed as follows:

\[
\begin{align*}
\min_{\theta, u} & \int_\Omega |\nabla \theta| \, dx + \lambda \int_\Omega |\Phi^T u_x| \, dx + \lambda_1 \int_\Omega |\nabla^2 u| \, dx \\
& + \frac{\alpha}{2} \int_\Omega (\theta - \theta_0)^2 \, dx + \frac{\beta}{2} \int_\Omega (Au - f)^2 \, dx \\
& + \frac{\gamma}{2} \int_\Omega (|\nabla u| - (\cos \theta, \sin \theta) \cdot \nabla u)^2 \, dx.
\end{align*}
\] (7)

To avoid numerical difficulties caused by nonsmooth terms in (7), we apply the split Bregman iterative scheme to solve the above model. Firstly, we introduce an auxiliary variable \( \eta \) to transform \( \nabla \theta \) with \( \eta = (\eta_1, \eta_2)^T = (\nabla_x \theta, \nabla_y \theta)^T \). Secondly, we use an auxiliary variable \( z \) to transform \( \Phi^T u \). Thirdly, we introduce auxiliary variable \( v \) to transform \( \nabla u \) with \( v = \nabla u = (\nabla_x u, \nabla_y u)^T \). Then we can transform into the following constrained optimization problem:

\[
\begin{align*}
\min_{u, \theta, \eta, z, v} & \int_\Omega |\eta| \, dx + \lambda \int_\Omega |z|_1 \, dx + \lambda_1 \int_\Omega |\nabla v| \, dx \\
& + \frac{\alpha}{2} \int_\Omega (\theta - \theta_0)^2 \, dx + \frac{\beta}{2} \int_\Omega (Au - f)^2 \, dx \\
& + \frac{\gamma}{2} \int_\Omega (|v| - (\cos \theta, \sin \theta) \cdot v)^2 \, dx,
\end{align*}
\] (8)

subject to

\[
\begin{align*}
\eta &= \nabla \theta, \\
z &= \Phi^T u, \\
v &= \nabla u,
\end{align*}
\]

where

\[
\nabla v = \begin{bmatrix}
\nabla_x v_1 & \nabla_y v_1 \\
\nabla_x v_2 & \nabla_y v_2
\end{bmatrix},
\]

\[
|\nabla v| = \sqrt{(\nabla_x v_1)^2 + (\nabla_y v_1)^2 + (\nabla_x v_2)^2 + (\nabla_y v_2)^2}.
\]

The related augmented Lagrangian functional can be written as

\[
L(u, \theta, \eta, z, v; \alpha, b, c) = ||\eta|| + \frac{\rho_1}{2} ||\eta_1 - \nabla \theta - a_1||^2 + \frac{\rho_2}{2} ||\eta_2 - \nabla \theta - a_2||^2 + \lambda \left( ||z||_1 + \frac{\rho_3}{2} ||z - \Phi^T u - b||^2 \right) + \lambda_1 ||\nabla v||^2 + \lambda_1 \left( \frac{\rho_3}{2} ||v_1 - \nabla x u - c_1||^2 + \frac{\rho_3}{2} ||v_2 - \nabla y u - c_2||^2 \right) + \frac{\alpha}{2} ||\theta - \theta_0||^2 + \frac{\beta}{2} ||Au - f||^2 + \frac{\gamma}{2} ||v| - (\cos \theta, \sin \theta) \cdot v||^2,
\] (9)

where \( \alpha = (a_1, a_2)^T \in \mathbb{C}^{N \times 2N} \), \( b \in \mathbb{C}^N \) and \( c = (c_1, c_2)^T \in \mathbb{C}^{2N} \) are the Lagrangian multipliers, while \( \rho_1, \rho_2 \) and \( \rho_3 \) are positive penalty parameters.

By applying the Bregman iteration to (8), we get the following iteration scheme with minimizing \( L \) in (9) with respect to \( (u, \theta, \eta, z, v) \) and with the updates of the multipliers \( \alpha, b \) and \( c \):

\[
\begin{align*}
\left\{ \begin{array}{l}
\left( u^{k+1}, \theta^{k+1}, \eta^{k+1}, z^{k+1}, v^{k+1} \right) = \arg \min_{u, \theta, \eta, z, v} L(u, \theta, \eta, z, v; \alpha^k, b^k, c^k), \\
\alpha^{k+1} = \alpha^k + \kappa_1 (\nabla_x \theta^{k+1} - \eta_1^{k+1}), \\
\alpha^{k+1} = \alpha^k + \kappa_1 (\nabla_y \theta^{k+1} - \eta_2^{k+1}), \\
b^{k+1} = b^k + \kappa_2 (\Phi^T u^{k+1} - \Phi^T u^k), \\
c^{k+1} = c^{k+1} + \kappa_3 (\nabla x u^{k+1} - z^{k+1}), \\
c^{k+1} = c^{k+1} + \kappa_3 (\nabla y u^{k+1} - v^{k+1}).
\end{array} \right.
\end{align*}
\] (10)

Based on the alternating minimization method (Chan et al., 2011), we can divide the minimization problem in...
into the following five subproblems:

\[ u^{k+1} = \arg \min_u \lambda \rho_2 \| z^k - \Phi^T u - b^k \|_2^2 + \beta \| A u - f \|_2^2 + \lambda_1 \rho_3 \| v^k - \nabla u - c^k \|_2^2, \] (11)

\[ \theta^{k+1} = \arg \min_{\theta} \| \eta^k - \nabla \theta - a^k \|_2^2 + \alpha \| \theta - \theta_0 \|_2^2 + \gamma \| |w^k| - (\cos \theta, \sin \theta) \cdot w^k \|_2^2, \] (12)

\[ (\eta_1^{k+1}, \eta_2^{k+1}) = \arg \min_{\eta_1, \eta_2} \| \eta \| + \frac{\rho_1}{2} \| \nabla \theta^{k+1} - a^k \|_2^2, \] (13)

\[ z^{k+1} = \arg \min_z \| z \| + \frac{\rho_2}{2} \| z - \Phi^T u^{k+1} - b^k \|_2^2, \] (14)

\[ v^{k+1} = \arg \min_v 2\lambda_1 \| \nabla v \| + \lambda_1 \rho_3 \| v - \nabla u^{k+1} - c^k \|_2^2 + \gamma \| v \| - (\cos \theta^{k+1}, \sin \theta^{k+1}) \cdot w^k \|_2^2. \] (15)

It is obvious that updating the multipliers such as \( a_1^k, a_2^k, b^k, c_1^k \) and \( c_2^k \) is easy. How to efficiently solve each of the subproblems (11) to (15) will be discussed in the forthcoming subsections.

2.1. \( u \)-Subproblem. In this subsection, we discuss how to solve the \( u \)-subproblem (11). The problem (11) cannot be solved directly using the fast Fourier transform, as pointed out by Ye et al. (2011), if \( A^T A \) cannot be diagonalized. Otherwise, the efficiency of the fast algorithm would be lost. To overcome this shortcoming, as done by Xie et al. (2014), quadratic approximation of the function \( H(u) = \frac{1}{2} \| A u - f \|_2^2 \) at point \( u^k \),

\[ Q_\delta(u, u^k) = H(u^k) + \langle \nabla H(u^k), u - u^k \rangle + \delta \| u - u^k \|_2^2, \]

can be used to replace \( H(u) \) in (11); it is actually a linearization of \( H(u) \) at point \( u^k \) plus a proximity term penalized by parameter \( \delta > 0 \). On account of \( \nabla H(u) = A^T (A u - f) \), adopting the Barzilai–Borwein step size selection scheme (Wright et al., 2009) to update the step size \( \delta \) as done by Xie et al. (2014) or Ye et al. (2011) for accelerating convergence, we can transform the minimization subproblem (11) into the following form:

\[ u^{k+1} = \arg \min_u \lambda \rho_2 \| z^k - \Phi^T u - b^k \|_2^2 + 2\beta \| u - u^k \|_2^2 + \lambda_1 \rho_3 \| v^k - \nabla u - c^k \|_2^2, \]

i.e.,

\[ u^{k+1} = \arg \min_u \lambda \rho_2 \| z^k - \Phi^T u - b^k \|_2^2 + \beta \delta_1 \| u - u^k \|_2^2 + \lambda_1 \rho_3 \| v^k - \nabla u - c^k \|_2^2. \] (16)

On the basis of the necessary optimality condition, in view of \( \Delta = -(\nabla_x^T \nabla_x + \nabla_y^T \nabla_y) \) and \( \Phi \Phi^T = I \), we can deduce the solution of the \( u \)-subproblem (11), given by the solution of the equation

\[ L_1^k u^{k+1} = \text{RHS}_1^k, \] (17)

where

\[ L_1^k = \lambda \rho_2 I + \beta \delta_1 I - \lambda_1 \rho_3 \Delta, \]

\[ \text{RHS}_1^k = \lambda \rho_1 \nabla_1^T (v_1^k - c_1^k) + \lambda_1 \rho_3 \nabla_1^T (v_2^k - c_2^k) + \lambda_2 \Phi (z^k - b^k) - \beta A^T (A u^k - f). \]

Since the Laplace operator is block circular under the periodic boundary condition and can be diagonalized by Fourier transform \( F \) with the property \( F^T = F^{-1} \), Eqn. (17) can be converted to

\[ F^T \tilde{L}_1^k F u^{k+1} = \text{RHS}_1^k, \] (18)

where \( \tilde{L}_1^k = \lambda \rho_2 I + \beta \delta_1 I - \lambda_1 \rho_3 \Delta F^T \). Since \( \tilde{L}_1^k \) is diagonal, the above equation can be solved easily.

By making use of the Barzilai–Borwein (BB) step size selection scheme proposed by Wright et al. (2009), the iterative step size \( \delta_{k+1} \) can be computed by solving

\[ \arg \min_\delta \| \nabla H(u^{k+1}) - \nabla H(u^k) - \delta (u^{k+1} - u^k) \|_2^2, \]

which also implies

\[ \delta_{k+1} = \frac{\langle \nabla H(u^{k+1}) - \nabla H(u^k), u^{k+1} - u^k \rangle}{\| u^{k+1} - u^k \|_2^2} = \frac{\| A(u^{k+1} - u^k) \|_2^2}{\| u^{k+1} - u^k \|_2^2}. \] (19)

2.2. \( \theta \)-Subproblem. To speed up the efficiency of solving the \( \theta \)-subproblem (12), we replace the variable \( \theta_0 \) in (12) with \( \theta^k \) so that the \( \theta \)-subproblem is transformed into the following one:

\[ \theta^{k+1} = \arg \min_{\theta} \| \eta \|_2^2 + \rho_1 \| u^k - \nabla \theta - a^k \|_2^2 + \rho_1 \| u^k - \nabla \theta - a^k \|_2^2 + \alpha \| \theta - \theta^k \|_2^2 + \gamma \| \theta \| - (\cos \theta, \sin \theta) \cdot w^k \|_2^2. \] (20)

Based on the optimality condition about \( \theta \), the above problem (20) can be solved by the following system:

\[ (\alpha I - \rho_1 \Delta) \theta = \gamma (|u^k| - (\cos \theta, \sin \theta) \cdot v^k) + \alpha \theta^k + \rho_1 \nabla_\theta^T (\eta_1^k - a_1^k) + \rho_1 \nabla_\theta^T (\eta_2^k - a_2^k). \] (21)

Solving Eqn. (21) directly is difficult, because there exist \( \theta, \sin \theta, \cos \theta \) in (21) at the same time. To overcome this drawback, we replace \( \sin \theta, \cos \theta \) in (21) with \( \sin \theta^k, \cos \theta^k \), respectively, so that Eqn. (21) can be converted to the following simplified form:

\[ F^T \tilde{L}_1^k F \theta^{k+1} = \text{RHS}_2^k. \] (22)
where $\hat{L}^k = \alpha I - \rho_1 F \Delta F^\top$, 
\[
\text{RHS} = \gamma \left( (-\sin \theta^k, \cos \theta^k) \cdot \nu^k \right),
\]
\[
\text{RHS}^k = \text{RHS} \left( \nu^k - \left( \cos \theta^k, \sin \theta^k \right) \cdot \nu^k \right) + \alpha \theta^k + \rho_1 \nabla_x (\eta_1^k - a_1^k) + \rho_1 \nabla_y (\eta_2^k - a_2^k).
\]

The use of the diagonalization technique of the Fourier transform makes the solution of Eqn. (22) very simple.

2.3. $\eta, z$ Shrink-subproblem. By means of a generalized shrinkage formula, as done by Wang et al. (2007), the solution to the $\eta$-subproblem (13) can be deduced as follows:

\[
\begin{cases}
\eta_1^{k+1} = \max \left( t_{k+1} - \frac{1}{\rho_1}, 0 \right) \cdot \frac{\nabla_x \theta^{k+1} + a_1^k}{t_{k+1}}, \\
\eta_2^{k+1} = \max \left( t_{k+1} - \frac{1}{\rho_1}, 0 \right) \cdot \frac{\nabla_y \theta^{k+1} + a_2^k}{t_{k+1}},
\end{cases}
\]

(23)

where

\[
t_{k+1} = \sqrt{\left( \nabla_x \theta^{k+1} + a_1^k \right)^2 + \left( \nabla_y \theta^{k+1} + a_2^k \right)^2}.
\]

Based on the shrink operator, defined by

\[
\text{shrink}(a, b) = \frac{a}{|a|} \max(\frac{a}{b}, 0),
\]

we can deduce easily the solution of the $z$-subproblem (14) as follows:

\[
z^{k+1} = \text{shrink}(\Phi^k u^{k+1} + b^k, \frac{1}{\rho_2}).
\]

(24)

2.4. $\nu$-Subproblem. In this subsection, we discuss the solution of the $\nu$-subproblem (15). As done by Xie et al. (2014), for the second time, we apply the split Bregman iterative scheme to solve the problem (15). By introducing the variable $p$ subject to $p = \nabla \nu$ and applying the augmented Lagrangian method, we can turn the minimization problem (15) into the following one:

\[
\begin{cases}
(\nu^{k+1}, p^{k+1}) = \arg \min 2 \lambda_1 \|p\| \\\n+ \lambda_1 \rho_3 \|p - \nabla u^{k+1} - c^k_h\|_2^2 \\\n+ \gamma_1 \|\nu - (\cos \theta^{k+1}, \sin \theta^{k+1}) \cdot \nu\|_2^2 \\\n+ \lambda_1 \rho_4 \|p - \nabla v - d^k_h\|_2^2
\end{cases}
\]

\[
\begin{cases}
d_{11}^{k+1} = d_{11}^k + \kappa_4 (\nabla x u^{k+1} - p_{11}^k), \\
d_{12}^{k+1} = d_{12}^k + \kappa_4 (\nabla y u^{k+1} - p_{12}^k), \\
d_{21}^{k+1} = d_{21}^k + \kappa_4 (\nabla x v^{k+1} - p_{21}^k), \\
d_{22}^{k+1} = d_{22}^k + \kappa_4 (\nabla y v^{k+1} - p_{22}^k).
\end{cases}
\]

(25)

where the variable $d$ is the Lagrangian multiplier,

\[
d = \begin{bmatrix} d_{11} & d_{12} & d_{21} & d_{22} \end{bmatrix}, \quad p = \begin{bmatrix} p_{11} & p_{12} & p_{21} & p_{22} \end{bmatrix},
\]

\[
|p| = \sqrt{p_{11}^2 + p_{12}^2 + p_{21}^2 + p_{22}^2}.
\]

Based on the alternating minimization scheme (Chan et al., 2011) and using a simplified computation for $v^{k+1}$, the minimization problem in (25) can be turned into the following subproblems:

\[
v_1^{k+1} = \arg \min_{v_{1i}} \lambda_1 \rho_3 \|v_{1i} - \nabla_x u^{k+1} - c^k_h\|_2^2 \\\n+ \gamma g_{k+1} \|v_{1i}\|_2^2 + \lambda_1 \rho_4 \|p_{11} - \nabla_x v_{1i} - d_{11}^k\|_2^2 \\\n+ \lambda_1 \rho_4 \|p_{12} - \nabla_y v_{1i} - d_{12}^k\|_2^2,
\]

(26)

\[
v_2^{k+1} = \arg \min_{v_{2i}} \lambda_1 \rho_3 \|v_{2i} - \nabla_y u^{k+1} - c^k_h\|_2^2 \\\n+ \gamma g_{k+1} \|v_{2i}\|_2^2 + \lambda_1 \rho_4 \|p_{21} - \nabla_x v_{2i} - d_{21}^k\|_2^2 \\\n+ \lambda_1 \rho_4 \|p_{22} - \nabla_y v_{2i} - d_{22}^k\|_2^2,
\]

(27)

\[
p_{11}^{k+1} = \arg \min_{p_{11}} \|p_{11} - \nabla_x v_{1i} - d_{11}^k\|_2^2, \quad (28)
\]

\[
p_{12}^{k+1} = \arg \min_{p_{12}} \|p_{12} - \nabla_y v_{1i} - d_{12}^k\|_2^2, \quad (29)
\]

\[
p_{21}^{k+1} = \arg \min_{p_{21}} \|p_{21} - \nabla_x v_{2i} - d_{21}^k\|_2^2, \quad (30)
\]

\[
p_{22}^{k+1} = \arg \min_{p_{22}} \|p_{22} - \nabla_y v_{2i} - d_{22}^k\|_2^2, \quad (31)
\]

where

\[
g_{k+1} = \left( 1 - (\cos \theta^{k+1}, \sin \theta^{k+1}) \cdot \frac{\nabla u^{k+1}}{|\nabla u^{k+1}|} \right)^2.
\]

The $v_1$ subproblem (26) can be solved using its necessary optimality condition, given in the following system:

\[
L^k_{\nu_1} = \text{RHS}^k_3,
\]

(32)

where

\[
L^k_{\nu_1} = \lambda_1 \rho_3 I + \gamma g_{k+1} I - \lambda_1 \rho_4 \Delta,
\]

\[
\text{RHS}^k_3 = \lambda_1 \rho_4 (\nabla_x u^{k+1} + c^k_h) + \lambda_1 \rho_4 \nabla_y (p_{11} - d_{11}^k) \\\n+ \lambda_1 \rho_4 \nabla_y (p_{12} - d_{12}^k).\]
Similarly, the \( v_2 \) subproblem \((27)\) can be solved using the system

\[
L_{k+1}^{k+1} = RHS_{k+1}^{k},
\]

where

\[
RHS_{k+1}^{k} = \lambda_1 \rho_3 (\nabla_x v_1^{k+1} + c_2^k) + \lambda_1 \rho_4 \nabla_y^T (p_2^{k+1} - d_2^{k+1}) + \lambda_1 \rho_4 \nabla_y (p_2^{k} - d_2^{k}).
\]

The systems \((29)\) and \((30)\) have a circulatory structure and hence can also be solved by the fast Fourier transform.

The solution of the subproblems \((28) - (31)\) can easily be obtained and explicitly computed by using a generalized shrinkage formula, as done by Wang et al. (2007). By simply computing, we have

\[
\begin{align*}
\hat{p}_{11}^{k+1} &= \max \left( s_{k+1}^{k+1} - \frac{1}{\rho_4}, 0 \right) \cdot \nabla_x v_1^{k+1} + d_1^{k+1}, \\
\hat{p}_{12}^{k+1} &= \max \left( s_{k+1}^{k+1} - \frac{1}{\rho_4}, 0 \right) \cdot \nabla_y v_1^{k+1} + d_2^{k+1}, \\
\hat{p}_{21}^{k+1} &= \max \left( s_{k+1}^{k+1} - \frac{1}{\rho_4}, 0 \right) \cdot \nabla_x v_2^{k+1} + d_1^{k+1}, \\
\hat{p}_{22}^{k+1} &= \max \left( s_{k+1}^{k+1} - \frac{1}{\rho_4}, 0 \right) \cdot \nabla_y v_2^{k+1} + d_2^{k+1},
\end{align*}
\]

where

\[
s_{k+1} = \left( (\nabla_x v_1^{k+1} + d_1^{k+1})^2 + (\nabla_y v_1^{k+1} + d_2^{k+1})^2 \right)^{\frac{1}{2}}.
\]

The above shrinkage only requires a few operations so that the computation of per component in \( p^{k+1} \) is relatively fast.

2.5. ADMM algorithm. Combining the analysis in the above subsections, the ADMM type algorithm for the MR image reconstruction coupling model \((7)\) is summarized in Algorithm \(1\).

3. Numerical Implementation

In this section, some numerical implementations of the proposed model and algorithm will be given. All algorithms were implemented in MATLAB, v. R2017a. The experiments were performed on a personal computer with an i7 2.4 GHz processor, 16 GB memory and a Windows operating system. The first numerical comparison is between the proposed algorithm ADMM-TV²L1C and two existing algorithms by using the same images, including a four-year-old female pons MR image and a nine-year-old male’s right vestibulo MR image. The numerical results illustrate that the proposed algorithm can reconstruct MR images more accurately than the compared methods.

Algorithm 1. ADMM-TV²L1C.

**Require:** \( f, \rho_1, \rho_2, \rho_3, \rho_4, \gamma, \lambda, \lambda_1, \alpha, \beta, T \).

1: Initialize: \( u^{0} := F^{-1} f, \delta_0^{0} := 1, a_0^{0} := a_0 := b_0 := c_0 := d_0 := e_0 := 0; \)
2: for \( k := 0 \rightarrow T - 1 \) do
3: Compute \( u^{k+1} \) by
4: Compute \( \theta^{k+1} \) by
5: Compute \( \eta_1^{k+1} \) by
6: Compute \( \eta_2^{k+1} \) by
7: Compute \( g_{k+1} \) by
8: Compute \( v_1^{k+1} \) by
9: Compute \( v_2^{k+1} \) by
10: Update \( p_{11}^{k+1} \), \( p_{12}^{k+1} \), \( p_{21}^{k+1} \), \( p_{22}^{k+1} \) via \((34)\) and \((35)\).
11: Update \( a_0^{k+1} \), \( a_0^{k+1} \), \( b_0^{k+1} \), \( c_0^{k+1} \), \( d_0^{k+1} \), \( e_0^{k+1} \) via \((10)\).
12: Update \( a_0^{k+1} \), \( b_0^{k+1} \) via \((25)\).
13: Update \( \delta_{k+1} \) by
14: end for
15: return \( u^{k+1} \).
effectively than the other two algorithms. Another comparison is between different sampling rates by using a nine-year-old male’s right vestibulo MR image: the test results demonstrated that the proposed algorithm can yield higher quality image with a low staircase effect.

The quality evaluation of image reconstruction can be divided into subjective and objective. Subjective evaluation refers to the subjective qualitative evaluation of image quality, where the observer evaluates the details of the reconstructed image according to his own knowledge and understanding. Objective evaluation is an objective and qualitative evaluation of image quality based on scoring criteria, such as signal-to-noise ratio (SNR). In this paper, we use some real images as original ones to evaluate the quality of image reconstruction. In the following experiments, we define SNR based on traditional image reconstructive evaluation criteria as

$$\text{SNR}_1 = 10 \log_{10}\left(\frac{\|u_{\text{true}}\|^2}{\|u - u_{\text{true}}\|^2}\right),$$

and mean squared error (MSE) as

$$\text{MSE} = \frac{1}{M \times N} \|u^{k+1} - u^k\|^2_2,$$

where $u$ and $u_{\text{true}}$ present the restored image and clean image, respectively, and $M \times N$ is the size of an image.

We select a centrally positioned region-of-interest (ROI) including 75% of the image, and define SNR based on MR image reconstructive evaluation criteria as

$$\text{SNR}_2 = \sqrt{\frac{S}{N}},$$

where $S$ is the mean pixel value of the ROI area in $u_{\text{true}}$ and $N$ is the standard deviation of noise of the ROI area (Och et al., 1992; Price et al., 1990).

Partially scanned data are obtained by non-uniformly randomly selecting some of the $k$-space samples from the entire $k$-space data of the tested MR images. For all the numerical experiments, we choose $\rho_1 = 10^{-3}$, $\rho_2 = 5 \times 10^{-3}$, $\rho_3 = 5 \times 10^{-3}$, $\rho_4 = 10^{-3}$, $\gamma = 10^{-3}$, $\lambda = 10^{-3}$, and $\lambda_1 = 2 \times 10^{-3}$. The parameters $\alpha$, $\beta$ connected with the sparsity of the underlying image and the noise level are fixed as $\alpha = 10^{-3}$, and $\beta = 0.9$. The termination criterion is fixed as $\text{MSE} = 5 \times 10^{-4}$.

### 3.1. Comparisons with other reconstruction algorithms

The main goal of this subsection is to compare the reconstruction effectiveness of three algorithms: the split Bregman algorithm for solving the TVL1 model (2) (called SBA-TVL1), the alternating direction method of multipliers for solving the TV2L1 model (3) proposed by Xie et al. (2014) (called ADMM-TV2L1), and the algorithm ADMM-TV2L1C proposed in this paper. In the first experiment, we choose a four-year-old female pons MR image as the original image, which is accompanied by cavernous malformation and left abducens nerve palsy. The MR image is resized to 256×256. In order to test the performance of the proposed algorithm, the image is corrupted with Gaussian white noise at level $\sigma = 10$, and then the test data are obtained from the simulation of incomplete Fourier data with various sampling rates.

We show the reconstructed MR images in Fig. 1 which are obtained by the ADMM-TV2L1C, SBA-TVL1, ADMM-TV2L1 algorithms with 36% $k$-space data for the four-year-old child’s pons MR image. For better visual comparison, in the second row of Fig. 1, we zoom in on the central area to observe the texture features of these reconstructed images. We list SNR1 and SNR2 for the experimental results of the child’s pons MR image under different $k$-space sampling rates from 28% to 46% in Tables 1 and 2, which show that our algorithm has better efficiency than the other two reconstruction algorithms.

The second experiment uses a nine-year-old male’s right vestibulo MR image of size 198×198, with cochlear nerve hypoplasia and stenosis of the internal auditory canal. The SNR levels SNR1 and SNR2 are applied to compare the three algorithms with different sampling rates. The test data are obtained from simulation of different $k$-space sampling rates from 28% to 46% with a nine-year-old male’s right vestibulo MR image. The SNR1 and SNR2 of the reconstructed MR images are given in Tables 3 and 4 respectively. These SNR data demonstrate that the SNR of the reconstructed images is improved as the sampling rate increases.

The reconstructed images with 36% $k$-space data and their zoomed-in images on central areas are shown in Fig. 2 where we can see that the images by reconstructed ADMM-TV2L1C have better quality under the same sampling rate, and ADMM-TV2L1C is more capable of recovering the textures and alleviating the staircase effect than SBA-TVL1 and ADMM-TV2L1.

The third experiment also uses the four-year-old child pons MR image of size 198×198 to test the speed of three algorithms under a 28% to 46% $k$-space sampling rate. We use the mean squared error (MSE) of $5 \times 10^{-4}$ as the stopping criterion for the iteration. The CPU times for three algorithms are shown in Table 5. The results demonstrate that the proposed algorithm is efficient and faster than both SBA-TVL1 and ADMM-TV2L1.

### 3.2. Comparisons under different sampling rates

In this subsection, in order to illustrate the proposed algorithm’s performance, we make a comparison under different sampling rates from 26% to 44%. The test data are obtained from the simulation of human spinal joints MR images. The reconstructed images with different sampling rates are demonstrated in Fig. 3.

The first row images in Fig. 3 demonstrate four reconstructed human spinal joints MR images under
Table 1. SNR via different algorithms and sample rates for the child pons image.

<table>
<thead>
<tr>
<th>Sample rate</th>
<th>28%</th>
<th>30%</th>
<th>32%</th>
<th>34%</th>
<th>36%</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADMM-TV-L1</td>
<td>22.01</td>
<td>24.06</td>
<td>24.26</td>
<td>25.26</td>
<td>25.42</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>24.34</td>
<td>25.27</td>
<td>26.97</td>
<td>28.28</td>
<td>28.72</td>
</tr>
<tr>
<td>Sample rate</td>
<td>38%</td>
<td>40%</td>
<td>42%</td>
<td>44%</td>
<td>46%</td>
</tr>
<tr>
<td>SBA-TVL</td>
<td>20.50</td>
<td>20.35</td>
<td>21.09</td>
<td>21.43</td>
<td>21.66</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>26.95</td>
<td>27.30</td>
<td>28.08</td>
<td>28.52</td>
<td>29.42</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>29.79</td>
<td>30.08</td>
<td>30.92</td>
<td>31.22</td>
<td>31.96</td>
</tr>
</tbody>
</table>

Table 2. SNR via different algorithms and sample rates for the child right vestibulo image.

<table>
<thead>
<tr>
<th>Sampling rate</th>
<th>28%</th>
<th>30%</th>
<th>32%</th>
<th>34%</th>
<th>36%</th>
</tr>
</thead>
<tbody>
<tr>
<td>SBA-TVL</td>
<td>7.09</td>
<td>7.15</td>
<td>7.54</td>
<td>7.63</td>
<td>7.52</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>10.60</td>
<td>11.36</td>
<td>11.58</td>
<td>11.88</td>
<td>12.06</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>11.71</td>
<td>12.16</td>
<td>12.50</td>
<td>12.72</td>
<td>12.93</td>
</tr>
<tr>
<td>Sampling rate</td>
<td>38%</td>
<td>40%</td>
<td>42%</td>
<td>44%</td>
<td>46%</td>
</tr>
<tr>
<td>SBA-TVL</td>
<td>8.10</td>
<td>7.90</td>
<td>8.24</td>
<td>8.15</td>
<td>8.44</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>12.81</td>
<td>13.02</td>
<td>13.38</td>
<td>13.66</td>
<td>13.93</td>
</tr>
</tbody>
</table>

Table 3. SNR via different algorithms and sample rates for the child right pons image.

<table>
<thead>
<tr>
<th>Sampling rate</th>
<th>28%</th>
<th>30%</th>
<th>32%</th>
<th>34%</th>
<th>36%</th>
</tr>
</thead>
<tbody>
<tr>
<td>SBA-TVL</td>
<td>24.61</td>
<td>24.85</td>
<td>25.05</td>
<td>25.54</td>
<td>25.41</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>27.55</td>
<td>28.06</td>
<td>28.53</td>
<td>28.84</td>
<td>29.10</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>31.70</td>
<td>32.19</td>
<td>32.76</td>
<td>33.52</td>
<td>33.78</td>
</tr>
<tr>
<td>Sampling rate</td>
<td>38%</td>
<td>40%</td>
<td>42%</td>
<td>44%</td>
<td>46%</td>
</tr>
<tr>
<td>SBA-TVL</td>
<td>25.97</td>
<td>26.00</td>
<td>26.37</td>
<td>26.68</td>
<td>26.78</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>29.49</td>
<td>29.52</td>
<td>29.71</td>
<td>30.02</td>
<td>30.37</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>34.30</td>
<td>34.56</td>
<td>35.23</td>
<td>35.36</td>
<td>35.71</td>
</tr>
</tbody>
</table>

Table 4. SNR via different algorithms and sample rates for the child right vestibulo image.

<table>
<thead>
<tr>
<th>Sampling rate</th>
<th>28%</th>
<th>30%</th>
<th>32%</th>
<th>34%</th>
<th>36%</th>
</tr>
</thead>
<tbody>
<tr>
<td>SBA-TVL</td>
<td>7.64</td>
<td>7.96</td>
<td>7.82</td>
<td>8.11</td>
<td>8.03</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>10.01</td>
<td>10.51</td>
<td>10.72</td>
<td>11.04</td>
<td>11.05</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>10.97</td>
<td>11.73</td>
<td>11.91</td>
<td>12.07</td>
<td>12.27</td>
</tr>
<tr>
<td>Sampling rate</td>
<td>38%</td>
<td>40%</td>
<td>42%</td>
<td>44%</td>
<td>46%</td>
</tr>
<tr>
<td>SBA-TVL</td>
<td>8.36</td>
<td>8.49</td>
<td>8.69</td>
<td>8.89</td>
<td>9.07</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>11.92</td>
<td>12.15</td>
<td>12.42</td>
<td>12.77</td>
<td>13.09</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>12.51</td>
<td>12.85</td>
<td>12.94</td>
<td>13.30</td>
<td>13.50</td>
</tr>
</tbody>
</table>

Table 5. CPU times via different algorithms and sample rates for the child pons MR image.

<table>
<thead>
<tr>
<th>Sampling rate</th>
<th>28%</th>
<th>30%</th>
<th>32%</th>
<th>34%</th>
<th>36%</th>
</tr>
</thead>
<tbody>
<tr>
<td>SBA-TVL</td>
<td>2.45</td>
<td>2.40</td>
<td>2.27</td>
<td>2.27</td>
<td>2.30</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>3.38</td>
<td>3.43</td>
<td>3.55</td>
<td>3.32</td>
<td>3.34</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>0.41</td>
<td>0.14</td>
<td>0.15</td>
<td>0.12</td>
<td>0.11</td>
</tr>
<tr>
<td>Sampling rate</td>
<td>38%</td>
<td>40%</td>
<td>42%</td>
<td>44%</td>
<td>46%</td>
</tr>
<tr>
<td>SBA-TVL</td>
<td>2.12</td>
<td>2.23</td>
<td>2.17</td>
<td>2.16</td>
<td>2.02</td>
</tr>
<tr>
<td>ADMM-TV-L1</td>
<td>3.11</td>
<td>3.33</td>
<td>3.44</td>
<td>3.44</td>
<td>3.44</td>
</tr>
<tr>
<td>ADMM-TV-L1-C</td>
<td>0.10</td>
<td>0.09</td>
<td>0.09</td>
<td>0.09</td>
<td>0.09</td>
</tr>
</tbody>
</table>

different sampling rates from 26% to 44%, and the second row images correspond with zoomed-in details in the central area of the above four reconstructed images. The experimental results show that the proposed algorithm is stable and has a low staircase effect under different sampling rates.

4. Conclusion

Based on the deformations of the LOT model and second-order TV regularization, in this paper, we proposed an MR image reconstruction coupling model. In order to implement the proposed model, we applied the split Bregman iterative scheme twice, and by means of generalized shrinkage formulas, the shrink operator, and the diagonalization technique of the Fourier transform, we proposed an ADMM type algorithm. By making numerical experiments, we verified the feasibility and effectiveness of the proposed model and algorithm in two aspects.

First, in Section 2.1, we compared our proposed algorithm with the SBA-TVL1 and ADMM-TV-L1 algorithms under different k-space sampling rates, from 28% to 46%. The numerical results indicated that the proposed algorithm can reconstruct MR images effectively. We listed the reconstructed MR images with a 36% sampling rate in Figs. [1] and [2] which demonstrated that the proposed algorithm can preserve diverse textures better than the other two algorithms. Then, in Section 2.2, we compared the proposed algorithm’s performance under different sampling rates, from 26% to 44%, and listed the reconstructed images in Fig. [3] which showed that the proposed algorithm had stable performance with a low staircase effect under different sampling rates. However, we did not provide the convergence analysis of the proposed algorithm. It is going to be a topic for future research.

Acknowledgment

The research has been supported by the Natural Science Foundation of the Hunan Province of China (no. 2019JJ40323).

References


A second-order TV-based coupling model and an ADMM algorithm for MR image reconstruction

Fig. 1. Child pons MR image reconstruction via different algorithms with a 36% sample rate.

Fig. 2. Child right vestibulo MR image reconstruction via different algorithms with a 36% sample rate.

Fig. 3. ADMM-TV²L₁C reconstruction for the human spinal joints MR image with a 26% to 44% sampling rate.
B. Zhou et al.


Bo Zhou received his MSc degree computer application at the Computer Department of Hunan University. He is a PhD candidate in the College of Mathematics, Hunan University. His main research interests include digital image processing, artificial intelligence, and electronic chip design.

Yu-Fei Yang is a professor and a PhD supervisor in the College of Computer Engineering and Applied Mathematics, Changsha University, and the School of Mathematics, Hunan University. His main research interests include digital image processing, artificial intelligence, and electronic chip design.

Bo-Xia Hu received his MSc degree from the Hunan University School of Mathematics in 2006. He is a PhD candidate in the College of Mathematics, Hunan University. His present research interests include digital image processing, variational inequality, optimization theory and methods.

Received: 18 October 2018
Revised: 16 March 2019
Re-revised: 26 May 2019
Accepted: 8 July 2019