DYNAMIC ADJUSTMENT NEURAL NETWORK–BASED COOPERATIVE CONTROL FOR VEHICLE PLATOONS WITH STATE CONSTRAINTS

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This paper addresses the challenge of managing state constraints in vehicle platoons, including maintaining safe distances and aligning velocities, which are key factors that contribute to performance degradation in platoon control. Traditional platoon control strategies, which rely on a constant time-headway policy, often lead to deteriorated performance and even instability, primarily during dynamic traffic conditions involving vehicle acceleration and deceleration. The underlying issue is the inadequacy of these methods to adapt to variable time-delays and to accurately modulate the spacing and speed among vehicles. To address these challenges, we propose a dynamic adjustment neural network (DANN) based cooperative control scheme. The proposed strategy employs neural networks to continuously learn and adjust to time varying conditions, thus enabling precise control of each vehicle’s state within the platoon. By integrating a DANN into the platoon control system, we ensure that both velocity and inter-vehicular spacing adapt in response to real-time traffic dynamics. The efficacy of our proposed control approach is validated using both Lyapunov stability theory and numeric simulation, which confirms substantial gains in stability and velocity tracking of the vehicle platoon.

Keywords: vehicle platoon, dynamic adjustment neural network (DANN), cooperative control, state constraint.

1. Introduction

The advent of advanced vehicular technology, alongside the evolution of the transportation infrastructure and the sophistication of communication networks, has facilitated the emergence of cooperative vehicle platoon systems. Foundational research in this area, as highlighted by Li et al. (2015; 2020b), has been the cornerstone on which these cutting-edge systems have been conceptualized and developed.

Central to this contemporary transport scheme is the concept of vehicle platooning. This technique organizes a sequence of vehicles into a cohesive convoy, maintaining minimal yet safe distances, guided by intelligent control systems dedicated to optimizing navigation efficiency (Hu et al., 2023; Huang et al., 2019). The implementation of such systems has been shown to yield multiple transport-related benefits including, but not limited to, the enhancement of road safety (Dutta et al., 2022; Zhang et al., 2021), reduction in energy and fuel consumption (Earnhardt et al., 2022; Li et al., 2021), as well as relieving traffic congestion, thereby improving the overall comfort for passengers.

The effectiveness of vehicle platooning is intrinsically tied to the efficacy of its control algorithms (Chang et al., 2019; Prayitno et al., 2023). These algorithms are integral for real-time adjustment of inter-vehicle distances and coordinating the velocities of individual vehicles within the platoon. Their role becomes even more pronounced as they tackle and adapt to dynamic traffic conditions and environmental changes (Wang et al., 2023). The ingenuity of these control systems thus directly influences the platooning system’s overall efficiency, seamlessly blending each vehicle’s movements into a collective, autonomous

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flow that speaks to a future of smarter, safer, and more sustainable transportation.

Historically, the quest for maintaining platoon formation and ensuring stability has led to the exploration of various cooperative control techniques. These have included the implementation of a linear quadratic regulator (LQR) (Liu et al., 2018; Okamoto et al., 2004), model predictive control (MPC) (Hu et al., 2022; Wang et al., 2022; Zhou et al., 2012), and adaptive sliding mode control (SMC) (Gao et al., 2018; Liu et al., 2019). Such methodologies have been pivotal in addressing specific platooning challenges. However, they often fall short in managing the uncertainties and non-linear dynamics characteristic of real-world driving conditions.

Neural networks have emerged as a beacon of hope for devising control algorithms that excel in adaptability, robustness, and high performance for vehicle platooning systems (Huang et al., 2023; Liang et al., 2020; Liu et al., 2022; Wu et al., 2022). These neural network-based strategies have proven effective in preserving platoon stability and safety amidst intricacies such as complex topologies (Li et al., 2020a; Feng et al., 2022), undetermined parameters (Liu et al., 2019; Peng et al., 2021), disruptions (Zhao et al., 2017; Li et al., 2022), and communication lags (Li et al., 2019; Gong et al., 2023). However, they typically overlook the intricacies of state constraints and the dynamic interplay between vehicles (Gao et al., 2016), potentially undermining the fidelity and the safeguarding mechanisms of the platoon system.

Our investigation presents an innovative neural network model designed to encapsulate the state constraints and the vehicular dynamics. We introduce the dynamic adjustment neural network (DANN), a sophisticated model characterized by its ability for online learning and dynamic adjustment of hidden neuron quantities and weights. The DANN encompasses an adept skill set for approximating system nonlinearities accurately while preserving a compact network size. This unique attribute empowers the DANN to dynamically modulate its control parameters in alignment with prevailing states and objectives.

This paper makes several contributions to the field:

(i) We unveil the DANN model, which ensures stable and safe platoon configurations in adherence to strict state constraints and accommodates on-the-fly modulation of control parameters in alignment with prevailing states and objectives.

(ii) We advocate for an advanced, neural network-driven cooperative control strategy that incorporates considerations for state constraints and dynamic inter-vehicle exchanges, overcoming the shortcomings of traditional frameworks.

The paper is organized as follows. Section 2 delineates the preliminaries relevant to graph theory and frames the problem. Section 3 details the intricacies of the DANN model. Section 4 explicates our neural network-centric cooperative control algorithm, articulating its foundational principles and rationale. Section 5 empirically validates our algorithm’s efficiency through simulation outcomes. Concluding remarks are presented in Section 6, encapsulating the essence and implications of our research.

2. Preliminaries and problem formulation

2.1. Graph theory. Consider a directed graph \( G = (V, E, A) \), composed of \( n \) nodes indexed by the set \( V = \{1, 2, \ldots, n\} \). The set \( E \subseteq V \times V \) represents the edges of the graph, consisting of the ordered node pairs. The associated adjacency weight matrix \( A = [a_{ij}] \in \mathbb{R}^{n \times n} \) is a nonnegative matrix, where the entry \( a_{ij} > 0 \) signifies the existence of an edge from node \( j \) to node \( i \), indicated by \( (j, i) \in E \); conversely, \( a_{ij} = 0 \) denotes the absence of such an edge. It is crucial to distinguish that in an undirected graph the presence of an edge \( (j, i) \in E \) automatically implies the presence of \( (i, j) \in E \). This reciprocity does not necessarily apply in the context of a directed graph, where the existence of \( (j, i) \in E \) does not infer that \( (i, j) \) is also in \( E \).

Additionally, each node \( i \) has a neighbor set \( \mathcal{N}_i \) which comprises the nodes from which node \( i \) is able to receive information, reflecting the directional nature of communications within the graph.

Define the adjacency Laplacian matrix \( L = [l_{ij}] \in \mathbb{R}^{n \times n} \) as

\[
    l_{ii} = \begin{cases} 
    \sum_{k \in \mathcal{N}_i} w_{ik} > 0 & \text{if } i = j, \\
    -w_{ij} < 0 & \text{if } i \neq j.
    \end{cases}
\]  

What should be paid attention to is that for an undirected graph, \( L \) is a symmetric semi-positive matrix.

**Definition 1. (Strong and weak connectivity)** Consider a directed graph \( G \). The graph is said to be strongly connected if, for every pair of distinct nodes \( v_i \) and \( v_j \),...
there is a bidirectional path such that a path from node \( v_i \) to node \( v_j \) exists, along with a path from node \( v_j \) to node \( v_i \). Conversely, the graph is termed weakly connected if, for any pair of distinct nodes \( v_i \) and \( v_j \), there is at least a unidirectional path—meaning there exists a path from \( v_i \) to \( v_j \) or from \( v_j \) to \( v_i \), but not necessarily both.

For undirected graphs, the definition simplifies: The graph is considered connected if there is a path linking any pair of distinct nodes.

**Definition 2.** (In-degree and out-degree, balance and Laplacian) Within a directed graph \( \mathcal{G} \) with the weighted adjacency matrix \( A = [a_{ij}] \), the in-degree and out-degree for a node \( v_i \) are quantitatively defined as follows:

\[
d_{\text{in}}(v_i) = \sum_{j=1}^{n} a_{ji}, \quad d_{\text{out}}(v_i) = \sum_{j=1}^{n} a_{ij}.
\]

When the in-degree and out-degree are equivalent, that is, \( d_{\text{in}}(v_i) = d_{\text{out}}(v_i) \) for each node \( v_i \), then the graph \( \mathcal{G} \) is described as a balanced graph.

Moreover, by constructing a diagonal matrix \( D = \text{diag}(d_{\text{out}}(v_1), \ldots, d_{\text{out}}(v_n)) \), we define the Laplacian matrix \( L \) for the graph \( \mathcal{G} \) as

\[
L = D - A.
\]

An important property of the Laplacian matrix is made evident by the equation \( LI_n = 0 \), where \( I_n \) represents the identity matrix of order \( n \).

### 2.2. Related lemmas.

**Lemma 1.** (Corets et al., 2002) Suppose there exists a bounded continuous function \( V(t) > 0 \) for \( \forall t \geq 0 \). If \( \dot{V}(t) \leq -\alpha V(t) + \beta \), where both \( \alpha \) and \( \beta \) are positive constant parameters, then

\[
V(t) \leq \left( V(0) - \frac{\beta}{\alpha} \right) e^{-\alpha t} + \frac{\beta}{\alpha}.
\]

**Lemma 2.** (Young’s inequality (Mitrovic et al., 1993b)) For any \( x, y \in \mathbb{R} \) and \( \epsilon > 0 \), the following inequalities hold:

\[
xy \leq \frac{\epsilon}{2} x^2 + \frac{1}{2\epsilon} y^2, \quad x^2 + y^2 \leq (x + y)^2 \text{ if } xy \geq 0.
\]

**Lemma 3.** (Cauchy inequality (Mitrovic et al., 1993a)) For any \( x > 0, y > 0 \in \mathbb{R}^n \), the following inequity holds:

\[
\left( \sum_{i=1}^{n} a_i b_i \right)^2 \leq \sum_{i=1}^{n} a_i^2 \sum_{i=1}^{n} b_i^2.
\]
Additionally, the dynamic formulations of the leader vehicle is described as follows:

\[
\begin{align*}
\dot{x}_o(t) &= v_o(t), \\
\dot{v}_o(t) &= f_o(x_o(t), v_o(t)),
\end{align*}
\]  

(9)

where \(x_o(t)\) and \(v_o(t)\) denote the position and velocity of the leader, respectively, while \(f_o\) is an unknown smooth function. Each vehicle has two degrees of freedom in its state, which means that the vehicle can move in the directions of the \(X\) and \(Y\) axes.

**Assumption 1.** The nonlinear function \(f_o\) of the leader vehicle is bounded by a positive constant, that is, \(\forall t \in \mathbb{R}^+, \|f_o\| \leq \alpha\).

**Assumption 2.** The nonlinear function \(g_i\) of follower \(i\) is either a negative-definite or a positive-definite symmetric matrix, and its eigenvalues \(\lambda_1(g_i), \ldots, \lambda_m(g_i)\) satisfy

\[0 < g_i \leq \|\lambda_1(g_i)\|, \ldots, \|\lambda_m(g_i)\| < \infty,\]  

(10)

with \(g_i\) being a positive constant.

Let \(x_i = [x_{i1}, x_{i2}]^T\) and \(v_i = [v_{i1}, v_{i2}]^T\) be the position and velocity state in the \(X\) and \(Y\) directions, respectively. The position and velocity states of the leader vehicle are

\[
\begin{align*}
x_o &= [x_{o1}, x_{o2}]^T, \\
v_o &= [v_{o1}, v_{o2}]^T.
\end{align*}
\]  

(11)

Further, define the tracking error as

\[
\begin{align*}
\zeta_i^x(t) &= x_i(t) - x_o(t) - \hat{o}_2^{(i)}(t), \\
\zeta_i^v(t) &= v_i(t) - v_o(t).
\end{align*}
\]  

(12)

Note that, in a formation period, the offset between the leader and the followers is kept constant. Thus, taking the derivative of Eqn. (12) during a formation period, we have

\[
\begin{align*}
\dot{\zeta}_i^x(t) &= \ddot{x}_i(t) + \hat{o}_2^{(i)}(t) - \dot{x}_o(t), \\
\dot{\zeta}_i^v(t) &= \ddot{v}_i(t) - \dot{v}_o(t) = -f_o(x_o(t), v_o(t)) + f_i(x_i(t), v_i(t)), \\
&= -f_o(x_o(t), v_o(t)) + f_i(x_i(t), v_i(t)) + g_i(x_i(t), v_i(t)) u_i(t), \quad i = 1, 2, \ldots, n.
\end{align*}
\]  

(13)

Rewrite Eqn. (13) in a compact form as

\[
\begin{align*}
\dot{Z}(t) &= \left(\begin{array}{c}
0 \\
-I_n \\
0
\end{array}\right) \otimes I_m Z(t) \\
&+ \left(\begin{array}{c}
0_{nm} \\
F_f \\
0_{nm}
\end{array}\right) \left(\begin{array}{c}
0_{nm} \\
F_o \\
U
\end{array}\right),
\end{align*}
\]  

(14)

where the tracking error compact vector

\[
Z = \left[\begin{array}{c}
\zeta_1^x, \zeta_2^x, \ldots, \zeta_n^x \\
\zeta_1^v, \zeta_2^v, \ldots, \zeta_n^v
\end{array}\right]^T,
\]

\[
F_f = \left\{f_1^T, f_2^T, \ldots, f_n^T\right\}^T,
\]

\[
F_o = \left\{f_1^T, \ldots, f_n^T\right\}^T,
\]

\[
U = \left\{(g_1 u_1)^T, (g_2 u_2)^T, \ldots, (g_n u_n)^T\right\}^T,
\]

and \(\otimes\) denotes the Kronecker product.

Similarly, Eqn. (12) can also be represented by the tracking error defined in Eqn. (12),

\[
e_i^T(t) = \sum_{j \in N_i} \left(\zeta_j^x(t) - \zeta_i^x(t)\right) + \zeta_i^x(t) - \sum_{j \in N_i} \left(\zeta_j^v(t) - \zeta_i^v(t)\right),
\]

\[
e_i^v(t) = \sum_{j \in N_i} \left(\zeta_j^v(t) - \zeta_i^v(t)\right) + \zeta_i^v(t).
\]

(15)

DANNs are used to approximate the unknown nonlinearity \(f_i(\cdot)\),

\[
f_i(x_i(t), v_i(t)) = W_i^* S(z_i(t)) + \varepsilon_i(z_i(t)),
\]

(16)

where \(z_i(t) = [x_i(t), v_i(t)]^T\), \(W_i^*\) is the ideal DANN weight matrix at time step \(t\) and \(\varepsilon_i(t)\) is the associated approximation error.

Design the DANN approximation-based adaptive control protocol

\[
u_i(t) = - \left(k_i + \frac{\beta_i}{2} \frac{\|S_i(z_i(t))\|^2}{\|S_i(z_i(t))\|}\right) \times (e_i^x(t) + e_i^v(t)), \quad i = 1, 2, \ldots, n,
\]

(17)

where \(k_i\) and \(\beta_i\) are positive design constant parameters to be given later, while \(\tilde{W}_i(t)\) is the estimation of ideal weight \(W_i^*(t)\).

3. Dynamic adjustment neural network

The conventional radial basis function neural network (RBFNN) is recognized for its high computational precision in learning and fitting time series data, while recurrent neural networks (RNNs) are not only capable of achieving high-accuracy data fitting, but also exhibit compatibility with new patterns in time series data. However, these models necessitate the use of an extensive reservoir to capture the characteristics of time series data, requiring substantial storage and computational resources. As a result, these models may not be suitable for situations with dynamic or environmentally-induced variations.
To remedy this limitation, we propose a streamlined efficacious neural network architecture termed the DANN. This architecture permits adaptive adjustments to both the connection weights and the network structure via online learning to maintain a high level of precision in approximating nonlinear functions. Rooted in the principles of the RBFNN, the DANN is equipped to introduce new hidden neurons as needed or to utilize the gradient descent method for fine-tuning the output weights and the centers of hidden neurons.

During the learning process, the DANN consistently evaluates the “novelty” of each new data sample. Should the novelty criterion of the sample be met, the network proceeds to integrate a fresh neuron into the hidden layer. The centre of this new neuron is set to match the value of the recently received sample. Furthermore, the output weights of the new neuron are determined based on the current network output error, and the center width is established as the minimum distance between the input value and the existing neuron centers. The DANN is also designed to dynamically prune superfluous hidden neurons to optimize its structure.

As delineated in Fig. 2, the DANN framework is composed of an input layer, an output layer, and an intermediary dynamic adjustment layer. The dynamic adjustment layer is particularly versatile, with the capability to update both the quantity and parameters of its neurons in real-time, adhering to the rule set elucidated in Fig. 3.

3.1. Neuron distance. Given two probability distribution functions (PDFs), namely, \( f_p(\tau) \) and \( f_q(\tau) \), as shown in Fig. 4, the Kullback–Leibler information (KLI) \( I_{KL}(f_p \parallel f_q) \) between them is calculated as

\[
I_{KL}(f_p \parallel f_q) = \int_{\tau} f_p(\tau) \ln \frac{f_p(\tau)}{f_q(\tau)} \, d\tau 
\]  

(18)

Further, the Kullback–Leibler divergence (KLD) \( D_{KL}(f_p, f_q) \) can be seen as a measurement to quantify the distance of \( f_p(\tau) \) and \( f_q(\tau) \) by

\[
D_{KL}(f_p, f_q) = I_{KL}(f_p \parallel f_q) + I_{KL}(f_q \parallel f_p) .
\]  

(19)

Let

\[
\theta_i(z) = \frac{z - c_i}{\sigma_i} = \frac{(x - c_i)^T (x - c_i)}{\sigma_i^T \sigma_i}.
\]  

(20)

where \( c_i, \sigma_i \) are the unit center and spread constant of neuron \( i \), and the \( i \)-th kernel of the hidden neurons is

\[
\phi_i(z) = \exp \left( - \sum_{j=1}^{2m} \frac{(z^{(j)} - c_i^{(j)})^2}{\sigma_i^{(j)}^2} \right) = \exp \left( - \theta_i(z) \right).
\]  

(21)

We design the “difference” between two hidden neurons

\[
\text{dif} = D_{KL}(f_p, f_q) = \int_{\tau} f_p(\tau) \ln \frac{f_p(\tau)}{f_q(\tau)} \, d\tau + \int_{\tau} f_q(\tau) \ln \frac{f_q(\tau)}{f_p(\tau)} \, d\tau
\]  

\[
= \int_{\tau} f_p(\tau) \left( \theta_i - \theta_j \right) \, d\tau + \int_{\tau} f_q(\tau) \left( \theta_j - \theta_i \right) \, d\tau
\]  

\[
= \left( \frac{c_i^T 1_{2m}}{2} + \frac{\sigma_i^T \sigma_i (c_i^T - 2c_j^T) 1_{2m}}{2\sigma_j^T \sigma_j} \right) \times \exp \left( - \theta_i(\tau) \right) + \left( \frac{c_j^T 1_{2m}}{2} + \frac{\sigma_j^T \sigma_j (c_j^T - 2c_i^T) 1_{2m}}{2\sigma_i^T \sigma_i} \right) \times \exp \left( - \theta_j(\tau) \right)
\]
constant of the hidden neuron. 

\[ z \text{ of dist among } f \]

Fig. 4. Distance between Gaussian functions \( f_q (\tau) \) and \( f_p (\tau) \) with the same kernel center \( c \).

\[ +m\sqrt{\pi} \sigma^T 1_{2m} \left( \frac{2 (1_{2m}^T - c_i^T) c_i}{\sigma_j^T} \right) \]

\[ + \frac{2 (1_{2m}^T - c_i^T) c_j}{\sigma_i^T} - 1 \right) . \quad (22) \]

3.2. Growing of hidden neurons. Calculate the “distance” \( \text{dist} \) between the neural network input \( z \) and a hidden neuron as

\[ \text{dist} = 1 - \exp \left( - \left\| \frac{z - c}{\sigma} \right\| \right) , \quad (23) \]

where \( c, \sigma \) are respectively the unit center and spread constant of the hidden neuron.

Denote by \( \text{dist}_{\min} = \min(\text{dist}) \) the minimum value of \( \text{dist} \) among \( z \) and all the hidden neurons, by \( \text{dif}_{\min} = \min(\text{dif}) \) the minimum value of ‘dif’ among all the pairs of two hidden neurons. If \( \text{dist}_{\min} \geq D_{\text{th}}^a \) and \( \text{dif}_{\min} \geq D_{\text{th}}^b \), with \( D_{\text{th}}^a \) and \( D_{\text{th}}^b \) being an online adaptive thresholds for generating new neurons in the hidden layer of neural network, then a new hidden neuron will be initialized and added, while the connection weights of the neural network will also be updated. This procedure works under the following rules:

(i) Update the number of hidden neurons:

\[ N \leftarrow N + 1. \quad (24) \]

(ii) Set the central and central width of the newly added hidden neuron:

\[ c_N = z, \quad (25) \]

\[ \sigma_N = \lambda \cdot \text{dist}_{\min}. \quad (26) \]

(iii) Initialize the connection weights from the new neuron to output layer:

\[ W_N^{N} = \frac{ae}{b \lambda + e} . \quad (27) \]

Here, \( W_N^{N} \in \mathbb{R}^m \), \( e \in \mathbb{R}^m \) is the network output error-related residual vector, \( a \) and \( b \) are constant scalars, and \( 1_m \in \mathbb{R}^m \) denotes the m-dimensional vector of ones.

3.3. Pruning of hidden neurons. Suppose that a DANN with \( h \) hidden neurons is capable to approximate the target function under the accuracy requirement; then, if the number of hidden layer nodes exceeds \( h \), how to prune the redundant hidden neurons? Without loss of generality, we consider the case of a DANN with \( h + 1 \) hidden neurons where only one can be pruned as redundant.

We consider deleting the hidden layer node \( h + 1 \) that contributes less to the network output for a long time, and calculate the output of each hidden layer node as

\[ o_{i,k} = w_i \exp \left( - \frac{\left\| z_k - c_i \right\|^2}{\sigma_i^2} \right) , \quad (28) \]

Subsequently, we identify the maximal absolute value, denoted as \( \|o_{\max,k}\| \), across the outputs of the hidden layer nodes. We then define the normalized contribution, \( r_{i,k} \), of each hidden layer node’s output to the overall network output by calculating the ratio of \( \|o_{i,k}\| \) to \( \|o_{\max,k}\| \) as follows:

\[ r_{i,k} = \frac{o_{i,k}}{o_{\max,k}} . \quad (29) \]

If the consecutive \( P \) steps of \( r_{i,k} \) are less than the set threshold \( \delta \), the corresponding hidden layer node is deleted, and then the learning of the next sample data pair will be carried out.

3.4. Updating network weights. If it is unnecessary to add or prune any hidden neuron, then what needs to be done is update the output weights according to network output related error and the hidden activation along the following rules:

\[ W_{\text{out}}^i \leftarrow W_{\text{out}}^i + \lambda \cdot \text{Proj} \left( W_{\text{out}}^i, \theta(z) ePB \right) , \quad (30) \]

where \( \lambda \) is a positive constant, \( B \) is the gradient vector of the network output with respect to \( W_{\text{out}}^i \), \( P \) is the covariance matrix, and \( \text{Proj}(\cdot) \) is a projection function defined as

\[ \text{Proj}(a, b) = \frac{b \cdot a}{|a|} . \quad (31) \]

Further, \( e \) denotes the system error which represents a specific term in a task. We design the update law of output weights as

\[ W_{\text{out}}^N \leftarrow W_{\text{out}}^N + \frac{ae}{b \lambda + e} \theta(z), \quad (32) \]

\[ c_i \leftarrow c_i + \lambda \cdot \Delta c_i, \quad i = 1, 2, \ldots, N, \]
Consider the Lyapunov candidate function as

\[ V(t) = \frac{1}{2} Z^T(t) \left( \begin{pmatrix} 2\tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{pmatrix} \otimes I_m \right) Z(t) \]  
\[ + \frac{1}{2} \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^2(t), \]  

where \( \tilde{L} = L + B, B = \text{diag} \{b_1, b_2, \ldots, b_n\}, \) \( \tilde{W}_i(t) = \tilde{W}_i(t) - W_i^*(t). \)

Taking the derivative of \( V(t) \) with respect to time \( t \), we have

\[ \dot{V}(t) = -\frac{1}{2} Z^T(t) \left( \begin{pmatrix} 0 & -I_n \\ 0 & 0 \end{pmatrix}^T \begin{pmatrix} 2\tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{pmatrix} \right) Z(t) \]
\[ + 2 \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i(t) \tilde{W}_i(t) \]
\[ + \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i(t) \tilde{W}_i(t). \]

Fig. 5. Connection weights variation of the output layer over time.

In Fig. 5 we illustrate the variations in the connection weights of the output layer over time. Figure 6 depicts the fitting error between the actual outputs and the desired targets. It is evident that the DANN actively fine-tunes both the number of neurons and the synaptic weights within the dynamic adjustment layer in response to changing conditions. As time progresses, the fitting error consistently diminishes, reflective of the ongoing adjustment in the number of nodes within the dynamic adjustment layer, conforming to the previously outlined updating protocols.

Furthermore, Fig. 6 underscores that, by incrementally increasing the number of nodes to an optimal count, the network achieves enhanced expansiveness and significantly improved fitting capabilities. This expansion is a strategic maneuver, governed by the network’s intrinsic mechanisms, to refine its predictive accuracy and adaptability.

4. Main results

Consider the Lyapunov candidate function as

\[ V(t) = \frac{1}{2} Z^T(t) \left( \begin{pmatrix} 2\tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{pmatrix} \otimes I_m \right) Z(t) \]  
\[ + \frac{1}{2} \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^2(t), \]

where \( \tilde{L} = L + B, B = \text{diag} \{b_1, b_2, \ldots, b_n\}, \) \( \tilde{W}_i(t) = \tilde{W}_i(t) - W_i^*(t). \)

Taking the derivative of \( V(t) \) with respect to time \( t \), we have

\[ \dot{V}(t) = -\frac{1}{2} Z^T(t) \left( \begin{pmatrix} 0 & -I_n \\ 0 & 0 \end{pmatrix}^T \begin{pmatrix} 2\tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{pmatrix} \right) Z(t) \]
\[ + \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i(t) \tilde{W}_i(t) \]
\[ + \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i(t) \tilde{W}_i(t). \]

Fig. 6. Comparison between the practical output and desired values (top), the fitting error (center), and the number of hidden neurons over time (bottom).
where $\beta_i$, $\lambda_i$, and $\delta_i$ are positive constants.

By using Eqn. (36), we have

$$
\dot{V}(t) 
\leq -Z^T(t) \left( \left( \frac{0}{-L} \right) \otimes I_m \right) Z(t)
+ \sum_{i=1}^{n} \beta_i \tilde{W}_i^T(t) \| S_i(z_i(t)) \|^2 \| e_i^x(t) + e_i^v(t) \|^2
+ \sum_{i=1}^{n} (\lambda_i + \delta_i) \| e_i^x(t) + e_i^v(t) \|^2
+ \sum_{i=1}^{n} (e_i^x(t) + e_i^v(t))^T g_i(x_i(t), v_i(t)) u_i(t)
+ \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i(t) \tilde{W}_i(t)
+ \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{\tilde{T}^2}{4\lambda_i} + \frac{\tilde{T}^2}{4\delta_i} \right). 
$$

(37)

By substituting the control protocol (13) and the adaptive weight tuning method into Eqn. (37), we obtain

$$
\dot{V}(t) 
\leq -Z^T(t) \left( \left( \frac{0}{-L} \right) \otimes I_m \right) Z(t)
+ \sum_{i=1}^{n} \beta_i \tilde{W}_i^T(t) \| S_i(z_i(t)) \|^2 \| e_i^x(t) + e_i^v(t) \|^2
+ \sum_{i=1}^{n} (\lambda_i + \delta_i) \| e_i^x(t) + e_i^v(t) \|^2
- \sum_{i=1}^{n} (e_i^x(t) + e_i^v(t))^T g_i(x_i(t), v_i(t)) (k_i)
- \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^T(t) \tilde{W}_i(t)
+ \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{\tilde{T}^2}{4\lambda_i} + \frac{\tilde{T}^2}{4\delta_i} \right) . 
$$

(38)

Based on Assumption 2, the above inequality can be rewritten as

$$
\dot{V}(t) 
\leq -Z^T(t) \left( \left( \frac{0}{-L} \right) \otimes I_m \right) Z(t)
+ \sum_{i=1}^{n} \beta_i \tilde{W}_i^T(t) \| S_i(z_i(t)) \|^2 \| e_i^x(t) + e_i^v(t) \|^2
+ \sum_{i=1}^{n} (\lambda_i + \delta_i) \| e_i^x(t) + e_i^v(t) \|^2
- \sum_{i=1}^{n} (\tilde{T}^2)(g_i(x_i(t), v_i(t)) || S_i(z_i(t)) \|^2 )
\times || e_i^x(t) + e_i^v(t) \|^2
- \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^T(t) \tilde{W}_i(t)
+ \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{\tilde{T}^2}{4\lambda_i} + \frac{\tilde{T}^2}{4\delta_i} \right) \right) . 
$$

(39)
Let $k_i \geq \lambda_i + \delta_i + k_0$. Then Eqn. (39) becomes

$$
\dot{V}(t) \leq -Z^T(t) \left( \begin{pmatrix} 0 & -\tilde{L} \\ -\tilde{L} & 0 \end{pmatrix} \otimes I_n \right) Z(t) - \sum_{i=1}^{n} k_0 \| e_i^w(t) + e_i^c(t) \|^2 - \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^T(t) \tilde{W}_i(t) + \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{7}{4\lambda_i} + \frac{\sigma_i^2}{4\delta_i} \right)
$$

$$
\leq -Z^T(t) \left( k_0 \begin{pmatrix} \tilde{L} & 0 \\ 0 & \tilde{L} \end{pmatrix} \right)^T \begin{pmatrix} \tilde{L} & 0 \\ 0 & \tilde{L} \end{pmatrix} Z(t) - \tilde{L} \otimes I_n Z(t) - \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^2(t) + \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{7}{4\lambda_i} + \frac{\sigma_i^2}{4\delta_i} + \frac{1}{2} \gamma_i^{-1} W_i^2(t) \right). \tag{40}
$$

Due to the fact that we designed $\tilde{W}_i^T(t) \tilde{W}_i(t) = \frac{1}{2} \left( \tilde{W}_i^2(t) + \tilde{W}_i^2(t) - W_i^2(t) \right)$, we have

$$
- \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^2(t) \tilde{W}_i(t) + \frac{1}{2} \gamma_i^{-1} \sum_{i=1}^{n} \left( \tilde{W}_i^2(t) + W_i^2(t) \right). \tag{41}
$$

Substituting Eqn. (41) into (40), it becomes

$$
\dot{V}(t) \leq -Z^T(t) \left( k_0 \begin{pmatrix} \tilde{L} & 0 \\ 0 & \tilde{L} \end{pmatrix} \right)^T \begin{pmatrix} \tilde{L} & 0 \\ 0 & \tilde{L} \end{pmatrix} Z(t) - \tilde{L} \otimes I_n Z(t) - \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^2(t) + \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{7}{4\lambda_i} + \frac{\sigma_i^2}{4\delta_i} + \frac{1}{2} \gamma_i^{-1} W_i^2(t) \right). \tag{42}
$$

Define

$$
\Lambda = \begin{pmatrix} \tilde{L} & 0 \\ 0 & \tilde{L} \end{pmatrix}^T \begin{pmatrix} \tilde{L} & 0 \\ 0 & \tilde{L} \end{pmatrix}, \quad \Delta = \begin{pmatrix} 0 & \tilde{L} \\ \tilde{L} & \tilde{L} \end{pmatrix}, \quad \Omega = \begin{pmatrix} 2\tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{pmatrix}.
$$

Fig. 7. Vehicle platoon in a simulation setting; the dotted arrow shows the communication direction between vehicles.

Taking $k_0 > \lambda_{\min}(\Lambda) (\lambda_{\max}(\Delta) + \omega/2 \cdot \lambda_{\max}(\Omega))$, where $\lambda_{\min}(\Lambda)$, $\lambda_{\max}(\Delta)$ and $\lambda_{\max}(\Omega)$ denote the smallest eigenvalue of matrix $\Lambda$, the largest eigenvalue of matrix $\Delta$ and the largest eigenvalue of matrix $\Omega$, respectively. $\omega = \min \{ \sigma_1 \gamma_1, \sigma_2 \gamma_2, \ldots, \sigma_n \gamma_n \}$; thus, we can rewrite Eqn. (42) as

$$
\dot{V}(t) \leq -\frac{\omega}{2} Z^T(t) (\Omega \otimes I_n) Z(t) - \frac{1}{2} \sum_{i=1}^{n} \gamma_i^{-1} \tilde{W}_i^2(t) + \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{7}{4\lambda_i} + \frac{\sigma_i^2}{4\delta_i} + \frac{1}{2} \gamma_i^{-1} W_i^2(t) \right)
$$

$$
= -\omega V(t) + \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{7}{4\lambda_i} + \frac{\sigma_i^2}{4\delta_i} + \frac{1}{2} \gamma_i^{-1} W_i^2(t) \right). \tag{43}
$$

Then we have

$$
V(t) \leq V(0) e^{-\omega t} + \frac{\rho}{\omega} (1 - e^{-\omega t}), \tag{44}
$$

where

$$
\rho = \sum_{i=1}^{n} \left( \frac{1}{4\beta_i} + \frac{7}{4\lambda_i} + \frac{\sigma_i^2}{4\delta_i} + \frac{1}{2} \gamma_i^{-1} W_i^2(t) \right). \tag{45}
$$

It should be noted that if we chose appropriate values of parameters $\beta_i$, $\lambda_i$ and $\delta_i$, we could obtain the positive constant $\rho$. By Lemma 1, the tracking error of each follower agent can be decreased to a small neighborhood of zero, which implies that the leader-following vehicle platoon consensus can be achieved.

5. Simulation results

Design the leader-following vehicle platoon topology as shown in Fig. 7. Set

$$
v_o = [6 \sin(t), 1 + \sin(2t + 1), 2 \cos(0.5t^2 + 1)]^T,
$$

$$
\begin{pmatrix} V(t) \\ \dot{V}(t) \end{pmatrix}.
$$
The initial position of follower vehicle \( i \) is a random vector in the range of \([-10, 10]\).

The connection matrix of followers can be constructed by

\[
C_f = \begin{bmatrix}
0 & 0 & 1 & 0 & 0 & 0 \\
1 & 0 & 0 & 0 & 0 & 0 \\
1 & 0 & 0 & 1 & 1 & 0 \\
0 & 0 & 1 & 0 & 0 & 0 \\
0 & 1 & 1 & 0 & 0 & 1 \\
0 & 0 & 1 & 0 & 1 & 0
\end{bmatrix},
\]

and the corresponding Laplacian matrix is

\[
L = \begin{bmatrix}
-1 & 0 & 1 & 0 & 0 & 0 \\
1 & -1 & 0 & 0 & 0 & 0 \\
1 & 0 & -3 & 1 & 1 & 0 \\
0 & 0 & 1 & -1 & 0 & 0 \\
0 & 1 & 1 & 0 & -3 & 1 \\
0 & 0 & 1 & 0 & 1 & -2
\end{bmatrix}.
\]

The leader’s dynamics is given by

\[
x_o(t) = 0_{6 \times 1}^T.
\]

On the other hand, the connection vector from the leader to the followers is

\[
C_l = [1 \ 0 \ 0 \ 0 \ 0 \ 0]_6^T.
\]

The leader’s dynamics is given by

\[
\dot{x}_o(t) = v_o(t)
\]

and

\[
\dot{v}_o(t) = \left(-\sin(x_o(t)) + 0.1 \left(1 - x_o^2(t)\right) \cos(v_o(t))\right) \nonumber
\]

\[
-\cos(x_o(t)) + 0.3 \left(1 + x_o^2(t)\right) \sin(v_o(t)),
\]

while the followers’ dynamics are given by

\[
\dot{x}_i(t) = v_i(t),
\]

\[
\dot{v}_i(t) = \left(-a_{i1} \sin(x_{i1}(t)) + b_{i1} \left(1 - x_{i1}(t)\right) \cos(c_{i1} v_{i1})\right) \nonumber
\]

\[
+ \left(a_{i2} \cos(x_{i2}(t)) + b_{i2} \left(1 + c_{i2} x_{i2}(t)\right) \sin(v_{i2})\right) + \left(\beta_{i1} \cos(x_{i1}(t)) v_{i1}\right) \nonumber
\]

\[
\times \left(\beta_{i2} \sin^2(x_{i2}(t)) + \beta_{i2}\right), \quad i = 1, \ldots, 6,
\]

where

\[
a = \begin{bmatrix}
1 & 2 \\
0.1 & 0.5 \\
0.5 & 1 \\
-1 & 0.4 \\
0.9 & -0.1 \\
1.2 & 1
\end{bmatrix}, \quad b = \begin{bmatrix}
1.2 & 1.2 \\
1.1 & -0.5 \\
0.5 & 0.1 \\
0.9 & 2.4 \\
-0.2 & 1.1 \\
0.2 & 1.6
\end{bmatrix}.
\]

Additionally, we also constructed nonlinear term fitting methods based on the radial basis function neural network (RBFNN), the backpropagation neural network (BPNN), and a fuzzy set model. Both the RBFNN and BPNN have a three-layer structure with 30 nodes in the hidden layer, and the dimension of the fuzzy set is 20. We primarily compared the number of convergence time steps and the steady-state error of the system. The results, as shown in Table 1, indicate that the proposed DANN achieves the best control performance.

It can be seen from the change diagram of the system state that, for both the position (see Fig. 8) and velocity (see Fig. 9), the changes are relatively intense at the middle time moment, and the output fluctuation of the corresponding DANN is also relative large (see Fig. 10), while the system output error is large compared with other time instants. Figures 11 to 14 show the internal variation and structure values of the DANN during system running. As observed while by combining Figs. 11 and 12 there is a notable increase in the number of hidden layer neurons within the DANN structure when significant fluctuations arise in the fitting error. This increment is designed to enhance the network’s fitting capabilities. In Figs. 13 and 14 prior to approximately 300 time steps, the DANN primarily adjusts the output weights and the centers of hidden layer neurons to approximate the desired output. After about the 300-time-step threshold, the network begins to expand the number of hidden layer neurons, mainly improving the performance by augmenting the scale of the network. Thus, the DANN begins to significantly increase the number of hidden neurons at this point in order to better fit the characteristics of the new input samples. In addition, the spread constants of the hidden layer neurons are all at a relatively small level, so that the hidden neurons are more sensitive to the input and can respond significantly to changes in the input. On the other hand, the unit center distribution of hidden neurons is also relatively scattered (see Fig. 15), which helps the neural network to better respond to different inputs.

<table>
<thead>
<tr>
<th>Model</th>
<th>Convergence time</th>
<th>Steady-state error</th>
</tr>
</thead>
<tbody>
<tr>
<td>RBFNN</td>
<td>632</td>
<td>(4.2 \times 10^{-2})</td>
</tr>
<tr>
<td>BPNN</td>
<td>603</td>
<td>(2.5 \times 10^{-2})</td>
</tr>
<tr>
<td>Fuzzy Set</td>
<td>744</td>
<td>(5.8 \times 10^{-2})</td>
</tr>
<tr>
<td>DANN</td>
<td>428</td>
<td>(7.8 \times 10^{-3})</td>
</tr>
</tbody>
</table>
6. Conclusion

In this paper, we introduced a mathematical model addressing the vehicle cooperative control issue and subsequently derived an adaptive control protocol that leverages the DANN. Our approach commenced with a meticulous mathematical analysis and verification of system control stability, leading to the identification of specific conditions required for certain system parameters. Numerical simulations not only depicted the evolution of the state consensus among the agents, but also delved into the internal dynamics of the DANN. It was observed that the DANN is not merely capable of dynamically altering its architecture in response to operational demands, but it also possesses the flexibility to refine its parameters for precise fitting of nonlinear expressions, effectively enhancing the network’s inherent adaptability and precision in dynamic settings.

Looking ahead, future work could expand upon this foundation by exploring the integration of the DANN with more complex control systems, assessing the network’s performance in real-world scenarios with varying degrees of unpredictability, and further refining the network’s architecture for optimized adaptability and computational efficiency. The exploration of distributed DANN structures to emphasize enhanced scalability and reduced computational loads in multi-agent systems indicates a promising direction for future research.

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References


Fig. 10. Output error of the DANN (it should be noted that the ideal output is only estimated during our simulation experiment).

Fig. 11. Number of hidden neurons of the DANN.

Fig. 12. Self-tuning procedure of the output weights of the DANN.

Fig. 13. Self-tuning procedure of unit centers of hidden neurons of the DANN.


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